

A survey of dispatching rules for the dynamic unrelated machines environment

Marko Đurasević^{a,*}, Domagoj Jakobović^a

^a*Faculty of Electrical Engineering and Computing, University of Zagreb, Unska 3, 10000 Zagreb, Croatia*

Abstract

In the real world, scheduling is usually performed under dynamic conditions, which means that it is not known when new jobs will be released into the system. Therefore, the procedure which is used to create the schedule must be able to adapt to the changing conditions during the execution of the system. In dynamic conditions, dispatching rules are one of the most commonly used methods for creating the schedules. Throughout the years, various dispatching rules were defined for a wide range of scheduling criteria. However, in most cases when a new dispatching rule is proposed, it is usually tested on only one or two scheduling criteria, and compared with only a few other dispatching rules. Furthermore, there are also no recent studies which compare all the different dispatching rules with each other. Therefore, it is difficult to determine how certain dispatching rules perform on different scheduling criteria and problem types. The objective of this study was to collect a large number of dispatching rules from the literature for the unrelated machines environment, and test them on nine scheduling criteria and four problem types with various machine and job heterogeneities. For each of the tested dispatching rules it will be outlined in which situations it achieves the best results, as well as which dispatching rules are best suited for solving each of the tested scheduling criteria.

Keywords: Dispatching rules, unrelated machines environment, dynamic

*Corresponding author

¹marko.durasevic@fer.hr

²domagoj.jakobovic@fer.hr

1 1. Introduction

2 Scheduling is a decision-making process which deals with the allocation of
3 resources to tasks over a given period of time (Leung, 2004; Pinedo, 2012). The
4 goal of the scheduling process is to create a schedule which optimises one or more
5 user defined criteria. Scheduling plays an important role in most manufacturing
6 systems (Dimopoulos & Zalzal, 2000; Kofler et al., 2009), but is also used
7 in many other real world scenarios, like scheduling planes on runways (Cheng
8 et al., 1999; Hansen, 2004), scheduling for radiotherapy pre-treatment (Petrovic
9 & Castro, 2011), scheduling tasks on CPUs (Pinedo, 2012), scheduling in railway
10 traffic (Corman & Quaglietta, 2015), and many others. Because of its wide
11 applicability, as well as its complexity, various scheduling problems have been
12 studied in the last several decades.

13 Most instances of scheduling problems belong to the category of NP-hard
14 problems, which makes it impossible to obtain an optimal solution in a reason-
15 able amount of time. Because of this reason, scheduling problems are in most
16 cases solved by using different heuristic methods, which obtain a satisfactory
17 solution in a relatively small amount of time. Although many heuristic methods
18 have been specifically designed for solving the unrelated machines environment
19 (Fanjul-Peyro & Ruiz, 2010, 2011; Cota et al., 2014; de C. M. Nogueira et al.,
20 2014), in most cases scheduling problems are solved by using different meta-
21 heuristic methods (like genetic algorithms, particle swarm optimisation, tabu
22 search, and many other) (Hart et al., 2005). All the aforementioned methods
23 can be applied only on scheduling problems under static conditions, where the
24 information about all jobs is known before the execution of the system, and
25 thus the schedule can be created beforehand. However, many scheduling prob-
26 lems occur in dynamic scheduling environments, in which it is not known in
27 advance when jobs will arrive into the system, and what their properties will
28 be. Therefore, it is not possible to create a schedule up front, but rather the

29 schedule needs to be constructed simultaneously with the execution of the sys-
30 tem. In order to solve scheduling problems under dynamic conditions, many
31 simple scheduling methods, called *dispatching rules*, have been defined in the
32 literature.

33 Dispatching rules (DRs) are simple constructive scheduling heuristics, which
34 iteratively build up a schedule. This is done in a way that each time a certain
35 machine is free, the DR determines which of the available, but yet unscheduled
36 jobs, should be scheduled on the given machine. In order to determine which
37 job should be scheduled next, DRs most commonly use a priority function to
38 rank the jobs, and schedule the job with the best priority value. The priori-
39 ties of jobs are usually calculated based on some characteristics of the jobs and
40 the current state of the system. Therefore, DRs can be used under dynamic
41 scheduling conditions, since they will only use the currently available informa-
42 tion to decide which jobs should be scheduled next. Because DRs construct
43 the schedule iteratively, they achieve much better execution times than meta-
44 heuristic methods (Đurasević & Jakobović, 2016), and can thus react quickly to
45 changes which happen in the scheduling environment. However, designing good
46 DRs is usually a lengthy trial and error process, which needs to be performed by
47 domain experts. To tackle this problem, different machine learning and evolu-
48 tionary computation methods have been used to automatically design new DRs
49 (Branke et al., 2016; Nguyen et al., 2017). Although automatically designed
50 DRs usually achieve better performance than manually designed DRs, they are
51 also more complex and not as interpretable. Additionally, manually designed
52 DRs are often used as a baseline for evaluating the performance of automatically
53 designed DRs. Because of all these reasons, it is still important to design new
54 and improved DRs, and also to be aware of how the various manually designed
55 DRs perform on different scheduling criteria.

56 Although a wide range of DRs have been defined for the unrelated machines
57 environment, very little research was performed to compare the performance of
58 all the proposed DRs, and test how they perform for different scheduling criteri-
59 a. Maheswaran et al. (1999) compared eight DRs for minimising the makespan

60 criterion. In the paper the selected DRs were applied in a dynamic environment
61 where jobs were released during the execution of the system. Braun et al. (2001)
62 analysed the performance of six DRs in the static scheduling environment for
63 minimising the makespan criterion. They additionally compared the considered
64 DRs with five other methods which can be applied for solving static scheduling
65 problems, like genetic algorithms and similar search based heuristic methods.
66 Du Kim & Kim (2004) propose a new DR for minimising the makespan and
67 compare it with three existing DRs for scheduling in the unrelated machines
68 environment under dynamic conditions. Izakian et al. (2009) compared six DRs
69 for scheduling tasks in heterogeneous distributed environments. The authors
70 compared the results achieved by the different DRs when optimising the mean
71 makespan and mean flowtime criteria. Pfund et al. (2008) compared several DRs
72 for the unrelated parallel machines with setup and ready times, for optimising
73 the total weighted tardiness criterion. However, only the static scheduling en-
74 vironment was considered in the previous study. Yang-Kuei & Chi-Wei (2013)
75 have considered scheduling in the unrelated machines environment with release
76 times, however, once again only for the static scheduling conditions. They com-
77 pared several DRs for optimising three criteria independently, the makespan,
78 total completion time, and total weighted tardiness. Tseng et al. (2009) com-
79 pare six DRs for optimising the makespan and total weighted tardiness criteria
80 when scheduling jobs in the heterogeneous computing environment. The DRs
81 were applied in a dynamic scheduling environment where jobs were released into
82 the system during the execution of the system.

83 The aim of this paper is to provide an overview of DRs which can be applied
84 for solving the unrelated machines scheduling problem with release times. The
85 considered scheduling problem will be solved under dynamic conditions, mean-
86 ing that the schedule needs to be constructed simultaneously with the execution
87 of the system. To collect most of the proposed DRs which would be applica-
88 ble for solving such scheduling problems, an extensive survey of the existing
89 literature on DRs for the unrelated machine environment was conducted. In
90 addition, a new DR called *just in time* and a new version of the *work queue* DR

91 are proposed in this paper, both of which were designed manually. All the DRs
92 will be tested on nine scheduling criteria to give a notion on how the collected
93 DRs perform on various scheduling objectives. Furthermore, the DRs will be
94 tested on four different problem sets, each of which will be generated with a dif-
95 ferent machine and job heterogeneity, to analyse how the selected DRs perform
96 on different problem configurations. Based on all the conducted experiments,
97 the paper will draw conclusions on which of the tested DRs were the most ap-
98 propriate for optimising the tested criteria, as well as how different methods
99 compare to each other. This should allow for an easier selection of appropriate
100 DRs for a given criterion and heterogeneity conditions.

101 The rest of the paper is organised as follows. Section 2 gives an overview
102 of the unrelated machines environment and the objectives which will be used
103 to measure the performance of the created schedules. The DRs which were
104 selected from the literature are enumerated and described in Section 3. The
105 design of the experiments is described in Section 4. Section 5 outlines the results
106 achieved by all the selected DRs on the nine scheduling criteria. Section 6 gives
107 a discussion about the main conclusion which can be drawn from the obtained
108 results. Finally, Section 7 gives the conclusion of this survey and outlines some
109 possible future research directions.

110 2. Unrelated machines environment

111 The unrelated machines environment consists of n jobs which need to be
112 scheduled on one of the m available machines. It is presumed that both the
113 number of machines and jobs are finite. Each job can be scheduled on only a
114 single machine, and once it starts with its execution it can not be interrupted
115 until it is completed. Additionally, each machine can execute one job at a time.
116 The index j is usually used to denote a concrete job, while the index i is used
117 to denote a concrete machine. For each job and machine pair a processing time
118 p_{ij} is defined, which determines the amount of time needed for machine i to
119 execute job j . Each job also has a release time r_j which determines when the

120 job becomes available and is released into the system, a due date d_j which deter-
 121 mines the time until a job should finish with its execution or otherwise a certain
 122 penalty will be invoked, and a weight w_j which determines the importance of
 123 the job. In this paper three job weights will be used, based on the criterion
 124 which is optimised: tardiness weight (w_{T_j}), earliness weight (w_{E_j}), and comple-
 125 tion time weight (w_{C_j}). All three weights can have different values for a single
 126 job. Scheduling in the unrelated machines environment can be found in many
 127 practical real world examples, such as in: multiprocessor computers, landing
 128 lanes in airports, operating rooms in hospitals, circuit board manufacturing,
 129 semiconductor manufacturing, group technology cells, painting and plastic in-
 130 dustries, injection moulding process and remanufacturing, railway rescheduling
 131 (Fanjul-Peyro & Ruiz, 2012; Lee et al., 2013; Wang et al., 2013; Quaglietta et al.,
 132 2016).

133 After the schedule is constructed, several metrics can be calculated for each
 134 job. These metrics will later on be used to calculate the values of the different
 135 scheduling criteria. The following metrics are most commonly used (Leung,
 136 2004; Pinedo, 2012):

137 • **Completion time of a job** (C_j) - the moment in time at which job j
 138 finishes with its execution and exits the system.

139 • **Flowtime of a job** (F_j) - the amount of time that job j spent in the
 140 system:

$$F_j = C_j - r_j. \quad (1)$$

141 • **Tardiness of a job** (T_j) - the amount of time that job j spent executing
 142 after its due date:

$$T_j = \max\{C_j - d_j, 0\}. \quad (2)$$

143 • **Earliness of a job** (E_j) - the amount of time that job j finished prior to
 144 its due date:

$$E_j = \max\{-(C_j - d_j), 0\}. \quad (3)$$

145 • **Unit penalty** (U_j) - a flag denoting whether a job is tardy or not:

$$U_j = \begin{cases} 1 : T_j > 0 \\ 0 : T_j = 0 \end{cases}. \quad (4)$$

146 The following nine scheduling objectives will be used in order to evaluate the
147 quality of the schedules created by the tested DRs (Allahverdi et al., 1999; Le-
148 ung, 2004; Allahverdi et al., 2008; Pinedo, 2012; Durasević & Jakobović, 2017):

149 • **Makespan** (C_{max}) - denotes the completion time of the last job that
150 leaves the system:

$$C_{max} = \max_j \{C_j\}. \quad (5)$$

151 • **Maximum flowtime** (F_{max}) - denotes the maximum flowtime achieved
152 by any of the jobs:

$$F_{max} = \max_j \{F_j\}. \quad (6)$$

153 • **Maximum tardiness** (T_{max}) - denotes the maximum tardiness achieved
154 by any of the jobs:

$$T_{max} = \max_j \{T_j\}. \quad (7)$$

155 • **Total weighted completion time** (Cw) - denotes the weighted sum of
156 all completion times:

$$Cw = \sum_j w_{C_j} C_j, \quad (8)$$

157 • **Total weighted tardiness** (Twt) - denotes the weighted sum of tardiness
158 values of all jobs:

$$Twt = \sum_j w_{T_j} T_j, \quad (9)$$

159 • **Total flowtime** (Ft) - denotes the sum of flowtimes of all jobs:

$$Ft = \sum_j F_j, \quad (10)$$

160 • **Weighted number of tardy jobs** (Nwt) - denotes the weighted sum of
 161 all tardy jobs:

$$Nwt = \sum_j w_{T_j} U_j. \quad (11)$$

162 • **Weighted earliness and weighted tardiness** ($Etwt$) - denotes the sum
 163 of the total weighted tardiness and the total weighted earliness:

$$Etwt = \sum_j (w_{E_j} E_j + w_{T_j} T_j), \quad (12)$$

164 • **Machine utilisation** (M_{ut}) - denotes the difference between the maxi-
 165 mum utilisation and minimum utilisation of all machines:

$$M_{ut} = \max_i \left(\frac{P_i}{C_{max}} \right) - \min_i \left(\frac{P_i}{C_{max}} \right), \quad (13)$$

166 where P_i is defined as the sum of processing times of all jobs which were
 167 executed on machine with index i .

168 By using the standard notation of scheduling problems, the problem studied
 169 in this paper can be defined as $Rm|r_j|\gamma$, where γ represents one of the nine
 170 previously defined criteria. Additionally, scheduling will be performed under
 171 dynamic conditions, which means that during scheduling it will not be known
 172 when the next job enters the system, neither which will be the characteristics of
 173 that job. Once the job enters the system, all its characteristics become available.
 174 Therefore, during the execution of the system, the DRs are applied at each
 175 decision point to determine which of the released jobs should be scheduled next.

176 3. Dispatching rules for the unrelated machines environment

177 This section will describe various dispatching rules for solving the unrelated
 178 machines scheduling problem with release times and under dynamic conditions,
 179 which were collected from the literature. The dispatching rules are applied to
 180 determine which job should be scheduled next on which machine each time a job
 181 enters the system and there is at least one machine free, or a machine becomes

182 free and there is at least one job waiting to be scheduled. The priority values
 183 calculated by DRs for scheduling job j on machine i will be denoted as π_{ij} . It
 184 should be noted that the priority values for some rules are calculated based only
 185 on job properties, and will therefore be the same for all machines. The following
 186 26 DRs will be tested:

- **Minimum completion time** (MCT) (Maheswaran et al., 1999; Braun et al., 2001) - jobs are selected in provisional order and the priorities of the selected job on all machines are calculated as

$$\pi_{ij} = \frac{1}{\max(mr_i, time) + p_{ij}},$$

187 where mr_i represents the time when machine i becomes available, and
 188 $time$ represents the current time of the system. In this way jobs will be
 189 scheduled on the machine on which they will be completed the soonest.

- **Minimum execution time** (MET) (Maheswaran et al., 1999; Braun et al., 2001) - determines the priorities of jobs as

$$\pi_{ij} = \frac{1}{p_{ij}}.$$

190 Therefore, jobs will be scheduled depending only on their processing times,
 191 so that each job is scheduled on the machine on which it achieves its
 192 minimum processing time. This can naturally lead to situations in which
 193 a great amount of jobs is waiting to be processed on a single machine,
 194 while the other machines are free. In order to avoid this, jobs will be
 195 selected by their processing time, but executed on a machine on which
 196 they achieve their minimum completion time.

- **Earliest release date** (ERD) (Pinedo, 2012) - determines the priorities of jobs as

$$\pi_{ij} = \frac{1}{r_j}.$$

197 This means that jobs will be scheduled in order by which they became
 198 available. The job with the highest priority will be scheduled on the
 199 machine on which it achieves its minimum completion time.

- **Longest processing time** (LPT) (Pinedo, 2012) - determines the priorities of jobs as

$$\pi_{ij} = p_{ij}.$$

200 Jobs with the longest processing time will therefore be selected first and
 201 scheduled on the machine on which they achieve their minimum comple-
 202 tion time.

- **Weighted shortest processing time** (WSPT) (Lee et al., 1997) - calculates the priorities as

$$\pi_{ij} = \frac{w_{C_j}}{p_{ij}}.$$

203 This rule functions similarly as the MET rule, however, it additionally
 204 considers weights which can be defined for jobs. The job with the largest
 205 priority value is selected and scheduled on the machine on which it achieves
 206 its minimum completion time.

- **Maximum standard deviation** (Maxstd) (Munir et al., 2008) - calculates the standard deviations of processing times for each job, and schedules the one with the highest standard deviation. The selected job is scheduled on the machine on which it achieves its minimum completion time. The intuition behind this rule is to prioritise those jobs which have a high variation of their processing times on different machines, since they will have a larger influence on the makespan if scheduled on an inappropriate machine.

- **Switching algorithm** (SA) (Maheswaran et al., 1999) - uses both the MET and MCT rules in a cyclic fashion depending on the load distribution of the system. The motivation behind this heuristic lies in the fact that the MET rule can create imbalance in the load of the machines by assigning most of the jobs to only a small subset of machines. The MCT rule, on the other hand, tries to even out the load balance across all the machines. Therefore the SA heuristic uses both rules to keep a good balance across all machines, but also to assign jobs to those machines on which they have

the smallest processing times. The heuristic uses the *load balance index* to determine when the algorithm should switch from one rule to the other. The index is calculated as

$$\nabla = \frac{mr_{min}}{mr_{max}},$$

215 where mr_{min} denotes the earliest machine ready time, and mr_{max} the
 216 latest machine ready of all machines in the system. Additionally, two
 217 threshold values are also defined: ∇_l and ∇_h . The SA heuristic starts to
 218 schedule tasks by using the MCT rule until the load balance index reaches
 219 a value of at least ∇_h , when it switches to the MET rule. This will cause
 220 the load balance index to decrease over time until it decreases to a value
 221 of ∇_l or less, when the SA heuristic switches again back to the MCT rule.

222 • **k-percent best (KPB)** (Maheswaran et al., 1999) - considers only a cer-
 223 tain subset of machines when scheduling a job. The subset of machines
 224 is constructed by selecting the $m * (k/100)$ machines on which the job j
 225 achieves the smallest processing times. The job is assigned to a machine
 226 from the selected subset on which it achieves the minimum completion
 227 time. The purpose of this heuristic is to schedule jobs on machines for
 228 which they have the smallest processing times. In this way the rules tries
 229 to prevent them from being scheduled on other machines which could be
 230 more suitable for other jobs which arrive into the system.

• **Ordered minimum completion time (OMCT)** (e Santos & Madureira, 2014) - represents an extension of the MCT rule in which the priorities of the jobs are calculated as

$$\pi_{ij} = \alpha * \sigma + (1 - \alpha) * S,$$

231 where σ represents the standard deviation of all processing times of job j ,
 232 $\alpha \in [0, 1]$ a control parameter, and S the *sufferage* value which is defined
 233 as the difference between the second smallest completion time and the
 234 smallest completion time of job j . The job with the highest priority is

235 scheduled on the machine on which it achieves its smallest completion
236 time. By using the standard deviation and sufferage values, this rule tries
237 to determine for which jobs it would be more damaging if they were not
238 scheduled on their preferred machine, and gives them a larger priority
239 value.

240 • **Opportunistic load balancing** (OLB) (Braun et al., 2001) - schedules
241 a job on the next available machine, regardless of the expected execution
242 time or completion time of that job. The intuition behind this rule is
243 to evenly distribute the load on all machines. Unfortunately, since this
244 rule does not consider the execution times of jobs, it can create schedules
245 with poor results for the makespan criterion. This can be improved to a
246 certain degree so that if several machines are free at the same time, the
247 job is scheduled on the machine on which it achieves its smallest execution
248 time.

• **Earliest due date** (EDD) (Pfund et al., 2008; Pinedo, 2012) - calculates
the priorities of jobs as

$$\pi_{ij} = \frac{1}{d_j}.$$

249 The reasoning behind this rule is to schedule the job with the earliest due
250 date, to minimise the tardiness of jobs. The job with the largest prior-
251 ity value is scheduled on the machine on which it achieves its minimum
252 completion time.

• **Minimum slack** (MS) (Pinedo, 2012) - calculates the priorities of jobs
as

$$\pi_{ij} = \max(d_j - p_{ij} - time, 0)$$

253 . In this rule the job with the smallest priority is selected and scheduled
254 on the machine on which it achieves its minimum completion time. The
255 rule tries to first schedule those jobs which are already late or close to
256 being late.

- **Montagne's heuristic** (MON) (Morton & Pentico, 1993) - calculates the priorities of jobs as

$$\pi_{ij} = \frac{w_{T_j}}{p_{ij}} * \left(1 - \frac{d_j}{p_s}\right),$$

257 where p_s represents the sum of processing times of all available jobs for
 258 machine i . The rule then schedules the job which achieved the highest
 259 priority value to the machine on which it achieves its minimum completion
 260 time. This rule tries to scale the WSPT rule with an additional slack factor
 261 prioritise to jobs which have an earlier due date. A disadvantage of this
 262 rule is that the slack factor is not dynamic, but rather constant during
 263 the system execution.

- **Weighed critical ratio** (CR) (Morton & Pentico, 1993) - calculates the priorities of jobs as

$$\pi_{ij} = \frac{w_{T_j}}{p_{ij}} \left(\frac{1}{1 + \frac{(d_j - p_{ij} - time)}{\bar{p}}} \right),$$

264 where \bar{p} represents the average processing time of all jobs waiting to be
 265 scheduled. The job with the highest priority is scheduled on the machine
 266 on which it achieves its minimum completion time. This rule extends the
 267 WSPT rule with a dynamic slack factor, by which it prioritises jobs which
 268 are close to their due dates. The disadvantage of this rule is that if the
 269 job is late, the priority continues to grow. In this survey the CR rule will
 270 be used without the weight, since this variant achieved better results.

- **Cost over time** (COVERT) (Morton & Rachamadugu, 1982; Morton & Pentico, 1993) - calculates the priorities of jobs as

$$\pi_{ij} = \frac{w_{T_j}}{p_{ij}} \max \left[\left(1 - \frac{\max(d_j - p_{ij} - time, 0)}{k\bar{p}}\right), 0 \right],$$

271 where k represents a scaling parameter. The job with the highest priority
 272 is scheduled on the machine on which it achieves its minimum completion
 273 time. This rule is similar to the CR rule, however it does not allow that
 274 the priority of jobs increases the more they are late.

- **Apparent tardiness cost** (ATC) (Vepsalainen & Morton, 1987; Lee et al., 1997; Pfund et al., 2008; Yang-Kuei & Chi-Wei, 2013) - calculates the priorities of jobs as

$$\pi_{ij} = \frac{w_{T_j}}{p_{ij}} \exp \left[-\frac{\max(d_j - p_{ij} - time, 0)}{k\bar{p}} \right].$$

275 The job with the highest priority value is selected and scheduled on the
 276 machine on which it achieves its minimum completion time. The rule can
 277 be considered a combination of the WSPT and MS rules, and the scaling
 278 factor is used to determine which of these rules will have more influence
 279 in the ATC rule.

- 280 • **Min-min** (Maheswaran et al., 1999; Braun et al., 2001; Tseng et al., 2009)
 281 - calculates the completion time of each available job on all the machines.
 282 After that, for each job the machine for which the job achieves its minimum
 283 completion time is determined. The job with the overall smallest comple-
 284 tion time is selected and scheduled on the machine on which it achieves
 285 its minimum completion time. Algorithm 1 represents the min-min rule.

Algorithm 1 Min-min rule

```

1: while unscheduled jobs are available do
2:   for each unscheduled job  $j$  do
3:     for each machine  $i$  do
4:       Calculate the completion time  $c_{ij}$  for job  $j$  and machine  $i$ 
5:     end for
6:   end for
7:   For each job determine the machine on which it achieves its minimum
   completion time
8:   Select the job which achieves the overall minimum completion time
9:   Schedule the selected job to the machine on which it achieves its mini-
   mum completion time
10: end while

```

- 286 • **Max-min** (Maheswaran et al., 1999; Braun et al., 2001) - for each job the
 287 rule determines the machine for which the corresponding job achieves its
 288 minimum completion time. However, unlike the min-min rule, the max-
 289 min rule selects the job with the largest minimum completion time. In
 290 that way the max-min rule will prioritise jobs with the longer executing
 291 times.

- 292 • **Min-max** (Izakian et al., 2009) - for each job the rule determines the
 293 machine for which the corresponding job achieves its minimum completion
 294 time. The job whose minimum processing time divided by the processing
 295 time on the selected machine in the previous step has the maximum value
 296 will be scheduled on the selected machine. The intuition behind this rule
 297 is to schedule the job whose processing time on the selected machine is
 298 the closest to the shortest processing time of that job.

- 299 • **Sufferage** (Maheswaran et al., 1999) - for each job the rule determines the
 300 machine for which the corresponding job achieves its minimum completion
 301 time. The rule then determines the sufferage value for each job. The job
 302 with the largest sufferage value is scheduled on the machine for which it
 303 achieves its minimum completion time. The intuition behind this heuristic
 304 is to schedule the job which would "suffer" the most if not scheduled on
 305 the machine with its minimum completion time.

- **Sufferage2** (Rafsanjani & Bardsiri, 2012) - for each job the rule deter-
 mines the machine for which the corresponding job achieves its minimum
 completion time. The rule calculates the sufferage value for each job, but
 additionally scales this value with the following factor

$$\frac{\min_i p_{ij}}{\min_i ct_{ij}},$$

306 where ct_{ij} denotes the completion time of job j on machine i . With
 307 this scaling factor the rule also incorporates the information about the
 308 processing and completion times when selecting the job to be scheduled.

309 The job with the largest scaled sufferage value is selected and scheduled
 310 on the machine for which the job achieves its minimum completion time.

- **Relative cost (RC)** (Xhafa et al., 2007) - for each job this rule determines the machine on which the job achieves its minimum completion time. Then for each job it calculates two parameters, namely the *static relative cost* and *dynamic relative cost*. The static relative cost for job j and machine i is calculated as

$$\gamma_{ij}^s = \frac{p_{ij}}{\frac{\sum_{k \in \text{machines}} p_{kj}}{m}},$$

while the dynamic relative cost is calculated as

$$\gamma_{ij}^d = \frac{ct_{ij}}{\frac{\sum_{k \in \text{machines}} ct_{kj}}{m}}.$$

The total priority of a job is calculated as

$$\pi_{ij} = \frac{1}{(\gamma_{ij}^s)^\alpha * \gamma_{ij}^d},$$

311 where α represents a user defined scaling factor. The selected job is sched-
 312 uled on the machine on which it achieves its minimum completion time.
 313 This rule tries to balance between the jobs minimum processing time and
 314 minimum completion time, and select the one which has smaller values for
 315 both.

- 316 • **Longest job to shortest resource - shortest job to fastest resource**
 317 (LJFR-SJFR) (Izakian et al., 2009) - for each job the rule determines the
 318 machine for which the corresponding job achieves its minimum completion
 319 time. In the first step this rule schedules m jobs with the longest mini-
 320 mum completion times to the fastest machines. After this first step the
 321 rule alternatively schedules the job with the shortest minimum execution
 322 time to the fastest machine, and then the job with the longest minimum
 323 execution time to the fastest machine.

- 324 • **Minimum execution completion time (MECT)** (Du Kim & Kim,
 325 2004) - represents a combination between the MET and MCT dispatching

326 rules. Algorithm 2 represents the outline of MECT. The DR first deter-
 327 mines the maximum ready time of all machines mr_{max} . Afterwards, the
 328 rule determines the machines on which job j can finish with its execution
 329 prior to mr_{max} . If such machines exist, the one for which job j achieves
 330 the minimum execution time is selected. However, if such machines do
 331 not exist, the machine on which job j achieves its minimum completion
 332 time is selected. Out of all unscheduled jobs, the job which achieves the
 333 minimum completion time on the selected machine will be scheduled. The
 334 intuition behind MECT is to alternatively use the minimum execution and
 335 completion times, in order to perform the scheduling decision. The rule
 336 will use the minimum execution time to select the machine on which job
 337 j should be executed, if this will not lead to the increase of the makespan.
 338 However, if there is no decision which does not increase the makespan,
 339 then the machine for which job j achieves its minimum completion time
 340 is selected.

341 • **Work queue (WQ)** (Izakian et al., 2009) - is in the literature defined in
 342 a quite similar way as the OLB rule. Therefore, in this study a variant of
 343 the WQ rule is proposed and used. This variant selects the machine that
 344 has the least workload, i.e. the machine which up to now spent the least
 345 time processing jobs. After the machine is selected, the job which achieves
 346 the minimum completion time on the selected machine is scheduled on it.
 347 The motivation behind this rule is to evenly distribute the work over all
 348 machines.

• **Just in time (JIT)** - is a DR which is proposed in this study. This rule
 tries to schedule the jobs as closely to their due dates as possible. To
 achieve this, the rule calculates the earliness or tardiness of the job and
 multiplies it with the corresponding job weight. Therefore, the priority
 value for each job is calculated as

$$\pi_{ij} = \begin{cases} w_{T_j} * (d_j - p_{ij} - time)^2, & \text{if job } j \text{ is late} \\ w_{E_j} * (d_j - p_{ij} - time)^2, & \text{if job } j \text{ is early} \end{cases}$$

Algorithm 2 MECT rule

```
1: while unscheduled jobs are available do
2:   Let  $mr_i$  denote the ready time of machine  $i$ 
3:    $mr_{max} = \max_i(mr_i)$ 
4:   Let  $ct_{ij}$  represent the completion time of job  $j$  on machine  $i$ 
5:   for each unscheduled job  $j$  do
6:     Let  $M'$  represent all machines for which  $p_{ij} + mr_i < mr_{max}$ 
7:     Let  $sm_j$  represent the selected machine for job  $j$ 
8:     if  $|M'| > 0$  then
9:        $sm_j = \arg \min_{i \in M'} p_{ij}$ 
10:    else
11:       $sm_j = \arg \min_{i \in M} ct_{ij}$ 
12:    end if
13:  end for
14:  Schedule the job with the smallest value of  $ct_{sm_j j}$ 
15: end while
```

349 The job with the smallest priority value is selected and scheduled on the
350 machine on which it achieves its minimum completion time.

351 4. Experimental design and setup

352 Since for the evaluation of the selected problem instances it is necessary to
353 have a wide range of different problems, this section will describe the way in
354 which the problem instances, which were used for evaluation purposes, were
355 generated.

356 For the generation of processing times, two parameters need to be defined,
357 ϕ_j which is a measure that determines the job heterogeneity, while ϕ_m defines
358 the measure of machine heterogeneity. The ϕ_j parameter controls whether the
359 different jobs will have similar or vastly different processing times. On the other
360 hand, the ϕ_m parameter controls whether a single jobs will have similar or vastly
361 different processing times on the different machines. For each job j a random
362 number μ_j is generated by using an uniform distribution from the interval $[1, \phi_j]$.
363 The corresponding processing times for job j are then generated in a way that
364 for each machine i a random number is sampled from the uniform distribution
365 between $[1, \phi_m]$, and is multiplied by μ_j . Based on previous studies (Tseng et al.,
366 2009), in this paper four parameter combinations will be used: $\phi_j = 3000$ and
367 $\phi_m = 100$ for high job and high machine heterogeneity, $\phi_j = 3000$ and $\phi_m = 10$
368 for high job and low machine heterogeneity, $\phi_j = 100$ and $\phi_m = 100$ for low
369 job and high machine heterogeneity, $\phi_j = 100$ and $\phi_m = 10$ for low job and low
370 machine heterogeneity.

The release times of the jobs were generated by using a uniform distribution
from the interval

$$r_j \in \left[0, \frac{\hat{p}}{2}\right],$$

where \hat{p} represents the expected duration of the schedule that is defined as

$$\hat{p} = \frac{\sum_{j=1}^n \sum_{i=1}^m p_{ij}}{m^2}.$$

371 This means that all jobs are expected to be released during the first half of
372 the system execution, which results with a system that has a higher load. The

373 reason why such problem instances were used lies in the fact that for problems
374 with lower load most of the DRs achieved a very similar value for the makespan
375 criterion, which would make it impossible to evaluate the DRs for that criterion.

The due dates of jobs were also generated using a uniform distribution from the interval

$$d_j \in \left[r_j + (\hat{p} - r_j) * \left(1 - T - \frac{R}{2} \right), r_j + (\hat{p} - r_j) * \left(1 - T + \frac{R}{2} \right) \right].$$

376 The parameter T represents the due date tightness which adjusts the percentage
377 of late jobs, while the parameter R represents the due date range which adjusts
378 the dispersion of due dates. Both parameters assumed values of 0.2, 0.4, 0.6,
379 0.8 and 1 in various combinations.

380 Finally, the weights of jobs are generated by using the uniform distribution
381 from the interval $< 0, 1]$. Each one of the three defined weights, w_{E_j} , w_{T_j} , and
382 w_{C_j} , are generated independently from each other.

383 By using the previously defined expressions, four problem instance sets, one
384 for each of the previously mentioned machine and job heterogeneity parameter
385 value combinations, were generated. Each problem set consists of 60 indepen-
386 dently generated problem instances, where each instance consists of 10 machines
387 and 1000 jobs. However, each problem instance is generated by using different
388 values for the due date parameters. The generated problem instances with the
389 best until now known solutions can be obtained from the project site ³.

390 To test the performance of all the individual DRs a simple simulator was
391 designed. Based on the defined problem instance and DR the simulator will
392 simulate how the DR would be used to construct the entire schedule for the
393 given problem. In each discrete time moment the simulator checks whether it
394 needs to invoke the DR to update the schedule, or if it will simulate that some
395 work is performed on the machines and will move to the next moment in time. If
396 at the current time moment a job is released into the system and there is at least
397 one available machine, or if a machine becomes available and there is at least

³<http://gp.zemris.fer.hr/scheduling/problemsets.7z>

398 one job waiting to be scheduled, then the simulator will invoke the DR. Such a
399 moment in time will be denoted as a *decision point*. In all other time moments,
400 the simulator will simply move to the next time moment to simulate that work
401 is being performed on the machines. When a DR is invoked by the simulator,
402 it will consider only those jobs which are released but yet unscheduled, and will
403 possess no knowledge about any of the future jobs that will arrive in the system.
404 Additionally, it is possible that at a certain decision point the DR determines
405 that a job should be scheduled on a machine which is already executing a job.
406 This situation occurs since the priorities of jobs are calculated for all machines,
407 whether they are free or not. If it happens that a job should be scheduled on
408 a machine which is already taken, the scheduling of this job is postponed to a
409 later moment in time. This allows the DRs to insert idle times into the schedule,
410 and not to schedule a job as soon as a machine becomes available. Furthermore,
411 if at any moment a tie between two machines occurs during the execution of
412 the DR, then the machine with a smaller ID is selected (all machines have an
413 ID associated to them, and are always evaluated in the order of their IDs). It
414 should also be mentioned that at a single decision point more than one job can
415 be scheduled, if there are enough available machines.

416 In addition to the performance of the individual DRs, the *estimated lower*
417 *bound* (ELB) for each criterion will also be denoted for the four problem sets.
418 The ELB values for a problem set are calculated by summing up the best solution
419 obtained by any of the DRs and several genetic algorithm executions for each
420 problem instance. Although these values do not represent the optimal solutions
421 which can be obtained, they still provide a general idea about the absolute
422 performance of the DRs.

423 Another important thing which has to be outlined are the execution times
424 of the individual DRs. The time required to calculate the priority value for
425 a single job can be considered almost negligible. However, since at a single
426 decision point the number of jobs and machines based on which the updated
427 schedule needs to be determined can be vast, the time required to determine the
428 updated schedule can also increase substantially. Therefore, the time required

429 to update the schedule depends heavily on the number of unscheduled jobs and
430 available machines at the current decision point. However, to provide a general
431 overview of the execution times for the individual DRs, the time required to
432 update the schedule in a single decision point was measured for all DRs. The
433 decision point was modelled in a way that there are 1000 unscheduled jobs and
434 10 available machines. In order to calculate the updated schedule in such a
435 situation with a relatively large amount of jobs, all DRs required between 0.02
436 and 0.7 seconds, except for OLB which executed for only 0.005 seconds due to
437 its simplicity. Based on the measured execution times it is evident that the
438 DRs can calculate the updated schedule in a relatively small amount of time
439 even in decision points with a large number of unscheduled jobs and available
440 machines. This allows for the DRs to be used in dynamic environments in which
441 it is required to quickly perform the scheduling decision.

442 Finally, it needs to be mentioned that the parameters for each of the DRs
443 were fine tuned on an independent problem instance set, and that the values for
444 which the best results were achieved were selected. The ATC rule was executed
445 with $k = 0.05$, the RC rule with $\alpha = 0.2$, the SA rule with $\nabla_l = 0.1$ and
446 $\nabla_h = 0.8$, the OMCT rule with $\alpha = 0.9$, the COVERT rule with $k = 0.2$, while
447 the other rules do not use any parameters.

448 5. Results

449 This section will outline the results which were obtained by the selected
450 DRs for the nine scheduling objectives. Each result of the DRs denoted in
451 the tables represents the sum of the results for the 60 problem instances used
452 to evaluate the DRs. In each table the best result for each criterion will be
453 denoted in bold, while the best five results for each criterion will be denoted
454 with a grey cell. The table includes three additional columns which denote the
455 average rank of each DR on several sets of criteria. The column denoted as
456 $Rank_t$ represents the average rank of the DR on the set consisting of three due
457 date related criteria (Nwt , T_{max} , and Twt). On the other hand, the column

458 denoted as $Rank_{cf}$ represents the average rank of the rules on the set consisting
459 of completion time and flowtime related criteria (C_{max} , C_w , F_{max} , and Fw).
460 These two groups of criteria were selected since the performance of the DRs
461 seems to be relatively correlated for the criteria within each group. Finally, the
462 column denoted as $Rank$ represents the average rank for each DR across all the
463 optimised scheduling criteria.

464 Table 1 represents the results achieved by the selected DRs for the problem
465 instance set which was generated by using high job and high machine hetero-
466 geneity. The results demonstrate that DRs which achieve a good performance
467 on the C_{max} criterion also achieve a good performance on the F_{max} criterion as
468 well. This is well evident since the top five DRs are the same for both criteria.
469 The best overall results for both criteria were achieved by the Sufferage2 DR.
470 For the C_w and Ft criteria it can also be observed that if a DR achieves a good
471 result on one criterion, it also achieves a good value on the other. Although
472 WSPT achieved the best result for the C_w criterion, it was unable to achieve a
473 good performance for the Ft criterion, for which the min-min DR achieved the
474 best result, followed closely by the KPB and MECT DRs. It is interesting to
475 note that for the $Etwt$ and M_{ut} the best results are mostly achieved by DRs
476 which do not perform well on other scheduling criteria. For $Etwt$ the best re-
477 sult was achieved by the JIT DR, whereas for the M_{ut} criterion the best result
478 is achieved by the LPT DR. For the remaining three due date related criteria
479 (Nwt , T_{max} , and Twt), the ATC, MON, and COVERT DRs achieve a good
480 performance on all three criteria. However, neither DR achieves the best result
481 for all three criteria. What is surprising is that for the T_{max} criterion the best
482 result is achieved by the proposed JIT DR, almost two times better than the
483 second best result achieved by the ATC rule.

484 The average rank for the due date related criteria shows that the ATC rule
485 achieves the overall best performance on the aforementioned set of criteria. The
486 rule achieved the best result only for the Twt criterion, and performed well for
487 the other two criteria. The COVERT rule obtained only a slightly lower average
488 rank, but nevertheless performed well across all the due date related criteria.

Table 1: Results for the test set generated with a high job and a high machine heterogeneity

	C_{max}	Cw	F_{max}	Ft	$Etwt$	M_{ut}	Nwt	T_{max}	Twt	$Rank_t$	$Rank_{c_f}$	$Rank$
ELB	11.73	2268	11.67	2565	970.3	$4.15*10^{-10}$	15.61	2.189	380.3	-	-	-
MCT	15.39	6980	15.27	6858	13402	$4.84*10^{-7}$	22.88	10.092	2346.1	20	20	18.2
MET	13.30	2990	13.18	2862	14482	$7.75*10^{-7}$	18.42	7.527	889.24	10	8.3	11.6
ERD	14.76	7177	14.50	7045	13327	$3.50*10^{-7}$	23.08	9.696	2408.7	20	20.5	17.9
LPT	13.80	9194	13.77	9061	12947	$1.023*10^{-8}$	25.53	9.749	3221.5	23.7	21	17.7
WSPT	13.74	2610	13.61	3603	14281	$5.44*10^{-7}$	19.21	8.498	1158.6	13.3	10.3	13
Maxstd	14.07	8532	14.03	8398	13106	$1.98*10^{-8}$	24.72	9.945	2968.3	23	18.2	18.4
SA	13.36	3534	13.23	3405	14259	$7.58*10^{-7}$	19.06	7.506	1049.7	10.3	9.3	11.8
KPB	12.35	2740	12.23	2611	14555	$8.80*10^{-7}$	18.14	6.862	800.72	5	4.8	9.1
OMCT	14.02	8505	13.98	8371	13105	$2.01*10^{-8}$	24.73	9.886	2953.9	22.3	21	17.6
OLB	12.82	2856	12.71	2726	14517	$8.67*10^{-7}$	18.28	6.964	839.56	8	7.3	10.9
EDD	13.82	3860	13.70	3728	14240	$7.31*10^{-7}$	19.36	8.077	1205.1	12.7	14.5	14.7
MS	14.79	7136	14.55	7004	13366	$4.04*10^{-7}$	23.07	9.645	2407.9	19	20.5	17.8
MON	13.71	3739	13.58	3608	13873	$5.10*10^{-7}$	17.99	5.325	754.99	3.3	12	9.3
CR	14.01	4851	13.88	4714	13884	$6.97*10^{-7}$	20.47	8.300	1517.1	15	16.5	15.3
COVERT	13.67	3725	13.54	3593	13880	$5.14*10^{-7}$	17.98	5.315	752.17	2	10.3	8.4
ATC	13.72	3727	13.61	3595	13878	$5.16*10^{-7}$	17.99	5.306	751.60	1.7	11.8	9
Min-min	12.35	2739	12.22	2610	14556	$8.63*10^{-7}$	18.14	6.833	800.85	5.3	3.8	8.7
Max-min	15.88	7784	15.63	7649	13221	$2.76*10^{-7}$	23.82	10.621	2659.7	22.3	23	19.2
Min-max	11.87	6751	11.77	6624	13293	$2.25*10^{-7}$	22.54	7.797	2182.8	15	8.5	10.4
Sufferage	12.14	7007	12.03	6874	13278	$1.46*10^{-7}$	22.83	8.148	2294.9	16.7	10.5	11.6
Sufferage2	11.74	8787	11.70	8658	12825	$6.76*10^{-8}$	24.86	8.078	2964.1	20.7	12.5	13.1
RC	12.13	4038	11.99	3907	14096	$5.57*10^{-7}$	19.58	7.097	1219.8	12	8	11.3
LJFR-SJFR	12.56	2790	12.44	2661	14541	$7.80*10^{-7}$	18.18	7.054	818.84	7.7	6.3	10.3
MECT	12.34	2740	12.21	2612	14556	$8.82*10^{-7}$	18.15	6.815	801.66	5.7	4.3	9.4
WQ	60.73	29994	60.60	29852	22060	$1.73*10^{-7}$	44.33	51.021	18188	26	26	23.8
JIT	13.81	7225	13.70	7092	12301	$3.19*10^{-7}$	20.90	2.904	1559.6	10.3	18.5	12.8

489 On the other hand, the min-min rule obtained the best average rank for the
490 completion time and flowtime related criteria. This rule is followed closely by
491 the MECT and KPB rules which obtained a slightly lower average rank than the
492 min-min rule. By considering the average rank on all the criteria, the best rank
493 is achieved by the COVERT rule, meaning that it performed relatively well on
494 a wide range of criteria. Although the KPB rule did not achieve the best result
495 for any of the criteria, it still belongs to the five best DRs based on their rank,
496 since it also performs well for most of the criteria. The MECT rule also performs
497 well for all criteria, which can be seen from the fact that for five criteria the
498 rule achieves results which are among the top five results. Unfortunately, for
499 the $Etwt$ and M_{ut} criterion the rule achieved among the worst results, which
500 consequentially led to the deterioration of the rule's rank. From the results in
501 the table it is evident that the DRs with the best ranks can be divided into two
502 groups. The first group consists of rules which perform well on all criteria except
503 for the $Etwt$ and M_{ut} criteria (like KPB, MECT, and min-min). The second
504 group consists of those rules which perform well only on two or three criteria,
505 while on the others they achieve moderate results (like COVERT, MON, and
506 ATC).

507 Table 2 represents the results achieved for the problem set generated with a
508 high job and a low machine heterogeneity. By examining the table it is evident
509 that the DRs perform quite similar as they did for the problem set with high
510 machine and job heterogeneity. For example, for the C_{max} , Cw , and F_{max}
511 criteria the top five rules are the same for both problem sets. For the C_{max}
512 criterion the best result was achieved by the Suffrage2 rule, while for Cw the
513 best result was obtained by WSPT, which is the same as for the previous problem
514 set. However, for the F_{max} criterion the best result was achieved by the RC
515 rule, followed closely by the Suffrage2 rule. The MECT rule achieved the best
516 overall result for the Ft criterion. For the $Etwt$ criterion the best result was
517 achieved by WQ, which did not achieve good results for $Etwt$ on the previous
518 set. On the other hand, for the M_{ut} criterion the LPT DR once again achieved
519 the best result. The remaining three due date related criteria are solved best

Table 2: Results for the test set generated with a high job and a low machine heterogeneity

	C_{max}	C_w	F_{max}	F_t	$Etwt$	M_{ut}	Nwt	T_{max}	Twt	$Rank_t$	$Rank_{cf}$	$Rank$
ELB	16.37	4468	16.08	3854	1326	$4.36*10^{-9}$	2.988	1.118	23.98	-	-	-
MCT	20.26	9229	19.69	8034.4	22840	$3.53*10^{-6}$	8.213	7.498	855.2	18.7	19.8	18.2
MET	17.94	5230	17.28	4041.4	25818	$5.19*10^{-6}$	4.935	5.587	343.0	10	8.8	12.1
ERD	19.23	9472	16.86	8285.0	22528	$2.40*10^{-6}$	8.253	6.674	824.0	17.3	15.5	15.0
LPT	18.21	12132	18.11	10927	20818	$1.63*10^{-7}$	10.89	7.509	1282	24.3	20.5	17.6
WSP T	18.64	4535	18.08	5261.7	24915	$3.25*10^{-6}$	5.933	6.426	497.7	12.7	10.5	12.4
Maxstd	18.53	11603	18.41	10408	21230	$2.09*10^{-7}$	10.50	7.682	1229	23.3	20.8	17.9
SA	17.96	5531	17.21	4344.3	25544	$5.05*10^{-6}$	5.137	5.526	354.0	10.3	9.8	12.2
KPB	17.11	5065	16.53	3875.7	25936	$5.39*10^{-6}$	4.767	5.186	319.0	6	5.3	9.7
OMCT	18.52	11623	18.40	10425	21223	$2.05*10^{-7}$	10.54	7.683	1234	24.3	20.8	18.0
OLB	17.72	5287	17.04	4097.4	25758	$5.474*10^{-6}$	4.927	5.320	341.3	8.7	8.3	11.7
EDD	19.18	7935	17.14	6749.1	23746	$4.16*10^{-6}$	7.247	6.180	666.5	14	14.5	14.8
MS	19.28	9475	16.94	8288.0	22528	$2.52*10^{-6}$	8.270	6.688	825.6	18.3	16.5	15.8
MON	18.70	6460	18.10	5268.2	24696	$3.52*10^{-6}$	4.391	3.338	269.1	2	14.3	10.7
CR	19.34	8466	17.41	7287.3	23365	$3.90*10^{-6}$	7.671	6.478	742.4	16	16.5	15.4
COVERT	18.70	6459	18.10	5269.6	24696	$3.50*10^{-6}$	4.406	3.342	269.5	3.33	14.3	10.9
ATC	18.64	6447	18.09	5257.6	24702	$3.49*10^{-6}$	4.401	3.304	266.9	1.7	12.3	9.6
Min-min	17.10	5065	16.50	3875.4	25936	$5.25*10^{-6}$	4.771	5.132	318.9	5.3	4.3	9.0
Max-min	20.58	10188	18.21	9001.1	22072	$1.93*10^{-6}$	9.045	7.484	954.2	21	22	18.4
Min-max	16.76	9972	16.44	8762.9	22213	$1.34*10^{-6}$	8.758	6.323	901.2	18.3	11.3	12.6
Sufferage	16.72	9843	16.30	8634.4	22312	$1.55*10^{-6}$	8.650	6.171	876.8	17	10.3	12.0
Sufferage2	16.37	11353	16.26	10142	21201	$2.12*10^{-7}$	9.857	6.442	1081	20.3	11.8	13.2
RC	16.87	7210	16.25	6017.5	24187	$3.77*10^{-6}$	6.351	5.297	510.3	11	7.3	10.6
LJFR-SJFR	17.29	5097	16.67	3907.0	25913	$5.14*10^{-6}$	4.804	5.295	323.5	7.3	6.3	10.1
MECT	17.05	5048	16.45	3859.1	25949	$5.56*10^{-6}$	4.760	5.162	317.1	4.7	3.3	8.8
WQ	60.69	30110	59.95	28879	20290	$1.47*10^{-6}$	30.22	40.08	9996	26	26	21.0
JIT	19.18	10108	18.75	8911.4	21716	$2.23*10^{-6}$	6.481	2.875	528.9	9	20.8	13.9

520 by the ATC, COVERT, and MON rules, with MON achieving the best result
521 for the Nwt criterion, and ATC for the Twt criterion. As for the previous set,
522 the best result for the T_{max} criterion was achieved by the JIT rule.

523 The ATC rule achieved the best average rank for the set of due date relat-
524 ed criteria for this problem set as well. The MON and COVERT rules again
525 obtained the second best and third best ranks, with the MON rule obtaining a
526 lower average rank for the due date related criteria. On the other hand, for the
527 set of completion time and flowtime related criteria the best average rank was
528 obtained by the MECT rule, whose results were among the top five for each of
529 the criteria in this set. The min-min and KPB rules came second and third with

530 somewhat larger average ranks. Regarding the average ranks on all the criteria,
531 there are certain changes in the ranks compared to the previous problem set.
532 For example, the best average rank was achieved by the MECT rule, which is
533 not surprising considering that for six criteria it was among the top five rules.
534 However, for the $Etwt$ and M_{ut} criteria, this rule achieved the worst results
535 among all the tested DRs. Min-min, KBP, and LJFR-SJFR were among the
536 top five rules even though they did not achieved the best result for any of the
537 criteria. Nevertheless, they achieved good performance on most of the criteria
538 but, similarly as the MECT rule, they performed quite bad for the $Etwt$ and
539 M_{ut} criteria. The ATC rule performs well for the due date related criteria, while
540 for the other criteria it performs worse to a certain extent. Nevertheless, this
541 rule was still able to achieve the third best rank among all the DRs.

542 Table 3 represents the results achieved by the DRs when applied on problem
543 instances generated with a low job heterogeneity and a high machine hetero-
544 geneity. Smaller changes in the performance of rules for some criteria are again
545 noticeable when compared to the previous two test sets, but most of the rules
546 retain a very similar performance. For the C_{max} and Cw criteria the same five
547 rules once again achieve the best results. However, for the C_{max} criterion the
548 min-max rule achieved the best result this time, followed closely by the Suffer-
549 age2 rule. For the Cw criterion the best result was once again achieved by the
550 WSPT rule. For the F_{max} criterion, the best results are now achieved by rules
551 which did not achieve the best results in the previous cases, such as MS, CR,
552 EDD, and ERD which achieves the overall best result for this criterion. The
553 MECT rule achieved once again the best result for the Ft criterion. For the
554 $Etwt$ criterion the best result was achieved by the WQ rule, with the other rules
555 performing similar as for the previous two problem sets. Once again for the M_{ut}
556 criterion the LPT DR achieved the best result. For the due date related criteria
557 the ATC, COVERT, and MON rules achieve the top results for all the three
558 criteria. This time the MON and COVERT rules achieved better values for the
559 due date related criteria than ATC, which is probably due to the choice of the
560 parameter value for ATC.

Table 3: Results for the test set generated with a low job and a high machine heterogeneity

	C_{max}	C_w	F_{max}	Ft	$Etwt$	M_{ut}	Nwt	T_{max}	Twt	$Rank_T$	$Rank_{cf}$	$Rank$
ELB	11.99	4583	7.176	773.5	1093	$1.56*10^{-8}$	2.330	0.719	6.422	-	-	-
MCT	15.45	7194.0	13.67	3314	25042	$1.34*10^{-5}$	4.386	3.764	225.4	19	19.5	17.6
MET	13.73	4797.1	12.28	938.8	27088	$2.20*10^{-5}$	2.673	2.837	59.39	10.3	9	12.1
ERD	14.77	7238.9	7.191	3366	24942	$9.78*10^{-6}$	4.317	2.163	199.3	11.7	14	12.2
LPT	14.08	9176.1	13.69	5317	23449	$3.06*10^{-6}$	5.745	4.445	423.8	25	21	18.1
WSPT	14.04	4583.3	13.00	1417	26693	$1.58*10^{-5}$	3.0368	3.368	103.9	14.3	10.5	13.3
Maxstd	14.19	8607.7	13.76	4748	23936	$3.20*10^{-6}$	5.387	4.410	378.1	23	20.3	17.7
SA	13.69	4836.9	11.97	975.6	27056	$2.24*10^{-5}$	2.696	2.719	60.97	10	9	12.0
KPB	12.94	4677.4	11.48	820.2	27186	$2.43*10^{-5}$	2.558	2.542	49.60	6	5	9.8
OMCT	14.21	8634.1	13.77	4769	23922	$3.15*10^{-6}$	5.403	4.431	382.5	24	21.3	18.2
OLB	14.48	4997.4	13.00	1140	26925	$2.36*10^{-5}$	2.798	3.160	76.96	12.3	13	14.7
EDD	14.88	6762.8	7.900	2891	25368	$1.54*10^{-5}$	4.096	2.182	175.0	11.3	13.3	12.8
MS	14.81	7253.7	7.227	3381	24931	$1.01*10^{-5}$	4.348	2.181	201.3	12.7	15	13.0
MON	14.12	5304.2	12.92	1445	26606	$1.60*10^{-5}$	2.493	1.769	41.97	1.3	13.3	10.2
CR	14.86	6982.3	9.270	3119	25267	$1.22*10^{-5}$	4.440	2.700	240.7	16.3	14	14.3
COVERT	14.02	5334.2	12.82	1470	26580	$1.51*10^{-5}$	2.518	1.726	42.79	1.7	12.3	9.3
ATC	14.06	5360.4	12.89	1495	26556	$1.58*10^{-5}$	2.526	1.783	43.47	3	13.5	10.4
Min-min	12.96	4677.5	11.51	822.7	27186	$2.37*10^{-5}$	2.571	2.558	49.73	7	6	10.3
Max-min	15.94	7841.8	8.337	3968	24453	$7.96*10^{-6}$	4.794	2.632	255.4	17	17	14.9
Min-max	12.01	6585.5	11.03	2728	25518	$7.63*10^{-6}$	3.922	2.952	170.1	14	8.3	10.7
Suffer age	12.43	7021.4	11.68	3165	25163	$6.50*10^{-6}$	4.206	3.307	211.5	16.7	11.8	12.7
Suffer age2	12.15	8698.1	11.76	4828	23779	$4.19*10^{-6}$	5.372	3.602	349.7	21.3	15.3	14.8
RC	12.58	5161.8	11.23	1299	26776	$1.73*10^{-5}$	2.892	2.760	80.45	12	7.3	11.4
LJFR-SJFR	13.09	4692.7	11.62	833.7	27177	$2.27*10^{-5}$	2.599	2.724	51.65	9	7	11.1
MECT	12.73	4634.6	11.11	773.5	27223	$2.56*10^{-5}$	2.545	2.404	44.60	5	3.8	9.1
WQ	60.96	30025	59.34	26234	19630	$4.99*10^{-6}$	28.62	38.32	8959	26	26	20.9
JIT	17.33	9811.1	16.62	5952	22779	$8.89*10^{-5}$	4.698	3.870	303.8	21	25	19.3

561 For this problem set, the best rank for the due date related criteria was
562 achieved by the MON rule, followed closely by the COVERT rule. The ATC
563 rule, which achieved the best ranks for the previous two problem sets, achieved
564 this time only the third best rank. For the set of completion time and flowtime
565 related criteria the overall best rank was obtained by the MECT rule. The
566 second best and third best average ranks were obtained by the KPB and min-
567 min rules, respectively. This is mostly consistent with the performance of the
568 rules on the previous problem set, except for the fact that the KPB rule now
569 obtains a slightly better overall performance than the min-min rule. Considering
570 the average ranks on all the criteria, the MECT rule achieved the best overall
571 rank. Once again it performs well for most criteria, except for the $Etwt$ and
572 M_{ut} criteria. The COVERT and MON rules also achieved a good rank, although
573 they achieved good results only for the due date related criteria, while for the
574 other criteria they achieved mostly mediocre results. The KPB and min-min
575 rules also belong to the top five DRs by their ranks. Neither of those two rules
576 achieved the best results for either one of the criteria, but managed to perform
577 well for most of the criteria.

578 Finally, Table 4 represents the results achieved by the rules for the problem
579 set with a low job and machine heterogeneity. From the results it is evident
580 that for this problem instance the behaviour is much more different than for
581 any of the previous three problem sets. For the C_{max} criterion, the best result
582 was achieved by the Suffrage2 rule. However, rules like maxstd, max-min and
583 MCT also obtained good results for this criterion, although on the previous three
584 problem sets they were unable to do so. For the Cw criterion the situation is
585 similar as for the previous problem sets, with WSPT achieving the best result
586 once again. The max-min rule achieved the best result for the F_{max} criterion,
587 while the MS and ERD rules also achieved very similar results. For the Ft
588 criterion, the LJFR-SJFR rule achieved the best result by a small margin over
589 the min-min, KPB and RC rules. The WQ rule again achieves the best result
590 for the $Etwt$ criterion, with no other rule achieving even remotely good results.
591 Additionally, the WQ rule achieves also the best result for the M_{ut} criterion as

Table 4: Results for the test set generated with a low job and a low machine heterogeneity

	C_{max}	C_w	F_{max}	F_t	$Etwt$	M_{ut}	Nwt	T_{max}	Twt	$Rank_T$	$Rank_{cf}$	$Rank$
ELB	30.33	13763	1.069	218.5	603	$1.19*10^{-7}$	2.220	0.164	7.972	-	-	-
MCT	30.3471	13780	1.599	232.6	22834	$3.39*10^{-4}$	2.254	0.236	8.970	16.3	14.9	14.9
MET	30.3534	13770	1.830	223.5	22842	$3.53*10^{-4}$	2.254	0.263	8.724	16	13.3	16.0
ERD	30.3377	13777	1.153	230.1	22836	$3.33*10^{-4}$	2.256	0.196	8.841	12	11.5	10.9
LPT	30.3331	13786	1.583	238.8	22828	$3.35*10^{-4}$	2.256	0.202	9.075	15.3	15	13.3
WSP T	30.3528	13765	1.738	225.0	22841	$3.48*10^{-4}$	2.261	0.252	8.939	19.7	11.5	15.6
Maxstd	30.3347	13783	1.540	236.5	22830	$3.36*10^{-4}$	2.252	0.227	9.207	15.7	13.3	12.8
SA	30.3534	13770	1.854	223.6	22842	$3.49*10^{-4}$	2.255	0.242	8.679	14.7	14.3	15.6
KPB	30.3534	13767	1.643	220.8	22844	$3.51*10^{-4}$	2.247	0.250	8.481	10.7	10.8	13.1
OMCT	30.3339	13782	1.569	235.6	22831	$3.38*10^{-4}$	2.256	0.218	9.017	16.3	13	13.6
OLB	31.203	14014	6.267	466.9	22619	$1.01*10^{-4}$	2.467	0.837	19.744	24	24	19.2
EDD	30.3526	13772	1.432	225.1	22841	$3.44*10^{-4}$	2.252	0.220	8.700	12.3	10.8	12.6
MS	30.3362	13776	1.151	229.0	22837	$3.38*10^{-4}$	2.251	0.194	8.889	8.7	10.5	10.1
MON	30.3534	13772	1.816	225.6	22840	$3.51*10^{-4}$	2.242	0.208	8.396	4.3	15	12.1
CR	30.3526	13773	1.641	226.7	22839	$3.52*10^{-4}$	2.255	0.275	8.935	18.3	14.8	16.8
COVERT	30.3516	13773	1.570	226.3	22839	$3.54*10^{-4}$	2.242	0.202	8.405	4	12.8	11.1
ATC	30.3516	13773	1.573	225.9	22840	$3.51*10^{-4}$	2.245	0.207	8.461	5.7	12.5	11.6
Min-min	30.3534	13767	1.735	220.7	22844	$3.50*10^{-4}$	2.252	0.251	8.442	10.7	10	12.6
Max-min	30.3353	13784	1.150	237.5	22830	$3.29*10^{-4}$	2.257	0.204	9.374	17	12	12.1
Min-max	30.3361	13770	1.159	223.2	22843	$3.33*10^{-4}$	2.246	0.197	8.567	6	6	7.6
Sufferage	30.3360	13768	1.205	221.1	22844	$3.35*10^{-4}$	2.252	0.190	8.474	5.7	5.3	7.6
Sufferage2	30.3319	13774	1.257	227.0	22839	$3.42*10^{-4}$	2.254	0.192	8.631	8.3	9.3	10
RC	30.3473	13768	1.410	220.8	22845	$3.37*10^{-4}$	2.246	0.218	8.519	8.7	7	10
LJFR-SJFR	30.344	13767	1.564	220.6	22844	$3.37*10^{-4}$	2.246	0.226	8.396	6.7	5.8	8.8
MECT	30.3600	13789	1.855	242.1	22825	$5.44*10^{-4}$	2.269	0.312	9.555	23	23	21.2
WQ	62.1436	31019	57.95	17450	17331	$0.43*10^{-4}$	24.238	34.55	5867	26	26	20.4
JIT	31.7544	15792	19.61	2245	20977	$1.31*10^{-4}$	2.598	2.212	36.21	25	25	20.0

592 well. For this problem set, no single rule performs well for all three due date
593 related. The ATC, MON, COVERT, and LJFR-SJFR rules perform well for
594 the Twt and Nwt criteria, but usually not for the T_{max} criterion, for which the
595 Sufferage rule performs the best.

596 The best rank for the due date related criteria was obtained by the COVERT
597 rule, followed closely by the MON rule. The ATC rule achieved the third best
598 average rank, the same as the sufferage rule. However, it is interesting to note
599 that the average values of these rules for the set of due date related criteria
600 are larger than for any of the previous three problem sets. The reason for this
601 is due to the fact that no single rule obtains good results across all the due
602 date related criteria, which then leads to a larger average rank. For the set

603 consisting out of the completion time and flowtime related criteria the best
604 average rank was obtained by the sufferage rule. The second and third best
605 ranks were obtained by the LJFR-SJFR and min-max rules. However, even for
606 this criteria set it is evident that the best average rank value is larger than it
607 was in the previous three problem sets. Therefore, for the problem set with a
608 low job and machine heterogeneity the DRs do not perform equally well on all
609 criteria within these two groups as they did for the previous three problem sets.
610 The overall best rank across all the criteria was achieved by both the Sufferage
611 and min-max rules. These two rules demonstrated good performance across
612 all criteria, except the *Etwt* criterion for which they achieved among the worst
613 results from all the rules. Surprisingly, neither of the rules which were among the
614 best for solving due date related criteria for the previous three problem sets are
615 now among the top five rules when considering their ranks. For this problem set
616 the MS rule achieved the best rank among the DRs for optimising the due date
617 related criteria. Although this rule did not perform well for the *Twt* criterion,
618 it achieved relatively good results for several other criteria. Additionally, the
619 LJFR-SJFR and Sufferage2 rules also achieved a good rank which placed them
620 among the five best rules for this problem set.

621 The comparison of the DRs with the ELB values leads to some interesting
622 observations. First of all, the DRs have shown to be least effective for the *Etwt*
623 and M_{ut} criteria. However, this is to be expected since DRs were usually not
624 designed to optimise such criteria. For the due date related criteria the results
625 obtained by the DRs when compared to the ELB values are still significantly
626 worse. This is especially evident for the *Twt* criterion, while for the other two
627 criteria the difference is not as prominent. Furthermore, for the problem set with
628 low job and machine heterogeneity the differences between the results obtained
629 by the DRs and the ELB values diminish for the due date related criteria. On
630 the other hand, for the completion time and flowtime criteria the differences are
631 quite small. This means that the DRs are most appropriate and well designed
632 for dealing with such types of criteria.

633 Aside from considering the four problem sets individually, it is also inter-

634 esting to denote the best ranking rules on all four problem sets together. The
635 MON and COVERT rules obtain the best average rank of 2.8, while the ATC
636 rule obtains the average rank of 3 for the set of due date related criteria. Based
637 on the average rank values it is evident that these three rules perform well for
638 the due date related criteria across all the problem sets. For the completion
639 time and flowtime criteria the best rules across all four problem sets are the
640 min-min, LJFR-SJFR, and KPB rules with average ranks equalling to 6, 6.3,
641 and 6.4 respectively. The ranks denote that for this set of criteria it is harder for
642 a single rule to perform well for all the problem sets. If all nine criteria and all
643 four problem sets are considered at the same time, then the best average rank
644 is obtained by the COVERT rule, with an average rank equalling to around 9.4.
645 Aside from this rule, the LJFR-SJFR, ATC, and min-min rules also obtained
646 quite good average rank values of 10, 10.1, and 10.1 respectively. The afore-
647 mentioned rules can be considered the most versatile rules, since they achieve
648 the best average rank value across all the tested problems and all the optimised
649 criteria. Therefore, if the heterogeneity of the jobs and machines in the system
650 is not known in advance and it is required for the rule to perform well over most
651 of the criteria, then one of the aforementioned DRs should be used.

652 **6. Discussion and analysis**

653 In this section a short analysis based on the results obtained in the previous
654 section will be performed. The analysis will be divided into two parts. The first
655 part will focus on DRs and tries to analyse for what situations they are most
656 well suited. In the second part for each criterion it will be analysed which are
657 the best rules for that criterion.

658 *6.1. Analysis of DRs*

659 Although MET is a quite simple DR, it managed to achieve good results in
660 some occasions. Except for the set with low machine and job heterogeneity, this
661 rule performed well for the Cw and Ft criteria, usually being ranked between

662 the fifth and seventh place for those two criteria. However, for the other criteria
663 it did not achieve as good results. Therefore, it is evident that in cases of high
664 heterogeneity it can be beneficial to schedule jobs by their processing times, since
665 there will be large differences between the processing times of a single job. Thus,
666 jobs should usually be scheduled on those machines for which they have smaller
667 processing times. For the case when the heterogeneity is low, the performance
668 of the rule deteriorates significantly for most criteria, since there is no large
669 difference between the processing times on the different machines. MCT is also
670 a simple rule, however, unlike the MET rule, it did not achieve as good results,
671 especially for problems with high job heterogeneity. This behaviour is expected,
672 since the jobs which should be scheduled are selected randomly. Therefore the
673 rule does not select the jobs in any ingenious way, but just determines on which
674 machine to schedule the selected job. In order to avoid the random selection of
675 jobs, the OMCT rule orders the jobs by using a priority based on the standard
676 deviation of processing times. However, using these priorities is only partially
677 successful. For the problems with high heterogeneity the rule performed rather
678 well for the $Etwt$ and M_{ut} criteria, being between the fourth and sixth best
679 rule for the aforementioned criteria. However, for all the other criteria the
680 rule obtained results which were among the worst. For the problems with low
681 machine and job heterogeneity the rule performed well for the C_{max} criterion,
682 while for the other criteria it again performed quite poorly. The MECT rule,
683 which represents a combination of the MET and MCT rules, performs much
684 better than the previous three rules for all problems except those with low
685 machine and job heterogeneity. The rule achieved a good performance for all
686 of the criteria except the $Etwt$ and M_{ut} criteria. The reason for such a good
687 performance comes from the fact that if a job would not increase the makespan,
688 the DR schedules the job on the machine with the shortest processing time.
689 On the other hand, if it would increase the makespan the DR schedules the job
690 on the machine with the earliest completion time. Therefore, the rule tries to
691 simultaneously reduce the flowtime and makespan related criteria. However, the
692 rule also reduces the due date related criteria as well, since it tries to execute

693 jobs as fast as possible, thus reducing the possibility of them being late. This
694 makes MECT one of the most versatile rules. The rule achieved the best results
695 for the Ft and Cw criteria. However, on problems with low machine and job
696 heterogeneity the rule achieved bad results. This is probably due to the fact
697 that the processing times over the different machines do not have large variations
698 and thus the adaptive part of the rule is not useful. These observations confirm
699 those from the original paper where the MECT rule was proposed.

700 The WSPT rule represents an extension of MET, however, it also takes into
701 account the weights of jobs when calculating priorities. This enables the rule to
702 achieve the best result for the Cw criterion across all four problem sets, making
703 it the main choice when this criterion needs to be optimised. For the other
704 criteria the rule does not perform well, however, it performs better on problems
705 with high heterogeneity. The LPT rule has shown variable behaviour depending
706 on the heterogeneity of the problems. For problem sets with high heterogeneity,
707 this rule achieved good performance for the $Etwt$ and M_{ut} criteria. By first
708 scheduling jobs with the longest processing times, the rule is able to evenly
709 distribute the load across several machines. When used for problem instances
710 with low job and machine heterogeneity, this rule is also able to obtain a very
711 good result for the makespan criterion. However, for most of the other criteria
712 the rule did not obtain good results.

713 The goal of the ERD rule is to schedule those jobs which entered the system
714 sooner. When the job heterogeneity is low, this rule obtains good results for
715 the F_{max} and T_{max} criteria, since the rule will reduce the time which the job
716 spends in the system. However, if the job heterogeneity is high the rule does not
717 perform well for most of the criteria. The reason why the rule does not perform
718 well under high job heterogeneity is because jobs will have largely different
719 processing times. Therefore, if the processing times of jobs are not considered
720 when calculating the priorities, it is possible that jobs with large processing times
721 will be scheduled. This will delay the execution of other jobs and increase the
722 value of the scheduling objectives. The maxstd rule generally achieved bad
723 results across all the criteria. For problems with high heterogeneity the rule

724 achieved good results for the M_{ut} and $Etwt$ criteria. The performance of the
725 rule did improve slightly when the heterogeneity is low for jobs and machines,
726 even achieving a relatively good result for the C_{max} criterion. Even so, on
727 the other criteria the results are not competitive with other rules. Therefore,
728 the information about the standard deviation of the processing times does not
729 provide any significant information during the scheduling process.

730 The results have demonstrated that the min-min rule was one of the best
731 performing rules. This is especially true for the problems with high job het-
732 erogeneity, for which the rule performs well for the Cw and Ft criteria, being
733 among the top three rules for those criteria. For the other criteria, except $Etwt$
734 and M_{ut} , the rule also achieves good results, being either the fifth or sixth best
735 rule. This shows that min-min is an appropriate rule for cases where job het-
736 erogeneity is high. The reason for such a good performance comes from the
737 fact that it tries to complete the jobs as soon as possible, which optimises the
738 Ft criterion. However, for problem instances with low job heterogeneity, the
739 performance of the rule deteriorates. The rule still achieves excellent results for
740 the Ft and Cw criteria, but the performance on the other criteria deteriorates.
741 The max-min heuristic is not as successful as the min-min heuristic. It performs
742 well only for the problems with the low heterogeneity, and only for the F_{max}
743 and M_{ut} criteria. This is again the consequence of smaller differences between
744 processing times, since this rule selects those jobs with higher processing times,
745 which leads to bad schedules on problems with high heterogeneity.

746 The min-max rule is much more successful. For example, for problems with
747 high heterogeneity it achieved results which are among the best for the C_{max} and
748 F_{max} criteria. Thus, the modification of the rule which also takes into account
749 that jobs are scheduled on machines on which they have shorter processing times
750 is beneficial for optimising the makespan criterion. The reason for this is that
751 by scheduling the rules on machines on which they have shorter processing times
752 will effectively lead to their faster execution, but also keep certain machines free
753 for more appropriate jobs which could be released in the future. For problems
754 with low heterogeneity this rule is unable to achieve such good results for those

755 two criteria. However, the rule performs well across most of the criteria, usually
756 ranking between the fourth and seventh place. Such behaviour is expected,
757 since for low heterogeneity conditions the differences between the processing
758 times will be much less prominent. Therefore, scheduling jobs on machines on
759 which they have smaller processing times will not have an equally strong effect
760 as in conditions with higher heterogeneity.

761 The sufferage rule achieved good results for the C_{max} criterion, especially
762 for the problems with high heterogeneity, where it was ranked between the
763 second and fourth place. For problems with high job heterogeneity, it also
764 achieved good results for the F_{max} and M_{ut} criteria. For the other criteria it
765 achieved quite poor results. However, for problems with low machine and job
766 heterogeneity, it performs rather well across most of the criteria, usually ranking
767 either fifth or sixth for most criteria. Thus, the rule exhibits a similar behaviour
768 as the min-max rule, being highly specialised under high heterogeneity for only
769 a few criteria, but performing well for most criteria under low heterogeneity.
770 The extended sufferage rule, denoted as sufferage2, has shown to be the best
771 rule for the C_{max} criterion. It achieved the best results for all problem sets
772 except for the one with low job and high machine heterogeneity, on which it
773 achieved the second best result. For problems with high job heterogeneity the
774 rule also achieved good results for the F_{max} criterion, ranking second or first,
775 and the $Etwt$ criterion, for which it ranks second and third. However, for
776 problems with high heterogeneity the rule achieves poor performance on most
777 other criteria. On the problem instances with low heterogeneity the performance
778 of the rule improves for other criteria, but still the performance for most of them
779 was mediocre. Therefore, this rule highly specialised for optimising the C_{max}
780 criterion, while neglecting other criteria.

781 The SA rule achieved mediocre results across most of the criteria, regardless
782 of the heterogeneity of the problem instances. Therefore, this is one of the rare
783 rules which did not perform well on at least one criterion. The LJFR-SJFR has
784 shown to perform well across most criteria, except the $Etwt$ and M_{ut} criteria,
785 when considering problem instances with high heterogeneity. For most criteria

786 it achieved a rank between seven and nine, except for the Ft and Cw criteria, for
787 which it achieved the fourth and fifth best result, respectively. However, for the
788 problems with low heterogeneity the rule achieves the best result for the Ft and
789 Twt criteria, and second best for the Cw criterion. Although it is surprising that
790 this rule achieved the best result for the Twt criterion, it can be explained by
791 the fact that by optimising the Ft criterion the rule reduced the amount of time
792 the jobs spent in the system, and therefore indirectly also reduced the tardiness
793 of the jobs. KPB is another rule which achieved a good performance for the Cw
794 and Ft criteria across all problem sets, always achieving values which are among
795 the top four results. For problems with high job heterogeneity the rule achieved
796 good results for other criteria, ranking between sixth and ninth place for all other
797 criteria, except for the $Etwt$ and M_{ut} criteria. However, as the heterogeneity
798 is reduced, the results of this rule also deteriorate. Therefore, limiting the
799 the machines on which jobs can be scheduled is more beneficial under high
800 heterogeneity conditions, since in those conditions the processing times of jobs
801 will have very different values for the different machines. The behaviour of the
802 RC rule is interesting since it varies with regards to the heterogeneity of the
803 problems. For high job heterogeneity this rule achieves good results for the
804 C_{max} and F_{max} criteria, while performing mediocre for other criteria. However,
805 for problem instances with low job and high machine heterogeneity, the rule
806 performs well for most criteria, but does not excel in either. On the other
807 hand, for problems with low heterogeneity the rule performs the best for the Ft
808 criterion and well for most other criteria. Therefore, RC proves to be a quite
809 versatile rule, across all the heterogeneity conditions.

810 The OLB rule has generally achieved mediocre or bad performance regardless
811 of the heterogeneity of the problem instances. However, this is expected since
812 the rule randomly selects the job which should be scheduled next. The WQ rule
813 performs poorly for most of the rules. The only criteria for which it performs well
814 are the $Etwt$ and M_{ut} criteria. However, it does not consistently perform well
815 on both of the aforementioned criteria. For M_{ut} it performs well consistently
816 across all the problem types, but obtains the best result for problems with

817 low heterogeneity. On the other hand, for all problem sets, except the one
818 with high machine and job heterogeneity, this rule performed well for the *Etwt*
819 criterion. The reason for this is that by dispatching jobs across machines to
820 evenly distribute the load will lead to an increase in tardiness, but also to a
821 significant decrease in the earliness of the jobs, which then also leads to a better
822 value of the *Etwt* criterion. The JIT rule was proposed for optimising the *Etwt*
823 criterion, which it does since it usually achieved either the best or second best
824 result for the *Etwt* criterion. However, apart from optimising this criterion,
825 the rule also achieved the best result for the T_{max} criterion when applied on
826 problem instances with high job heterogeneity. Thus, by trying to schedule the
827 job as close to its due date, many jobs end up being late, however, none of them
828 ends up being completed long after their due date.

829 The EDD rule is the simplest rule designed for optimising due date related
830 criteria. Due to its simplicity it was unable to achieve good results on any
831 of the tested criteria, except for F_{max} in only one occasion. The MON rule,
832 although also being a rather simple rule, achieved much better results than
833 EDD. The rule performed well for all the due date related criteria. It performed
834 especially well for the *Nwt* criterion for which it achieved the best result for all
835 problem sets, except the one with high machine and job heterogeneity, where
836 it achieved the third best result. The MS rule was shown to perform poorly on
837 problem instances with high job heterogeneity. On the problem sets with low job
838 heterogeneity the rule achieves good results, mostly for the F_{max} criterion, but
839 also for the T_{max} criterion. In the case of low heterogeneity, the rule does not
840 perform poorly for any of the tested criteria. Therefore, scheduling jobs closer
841 to their due date is mostly useful for problems with low job heterogeneity. The
842 CR rule represents a simple extension of the MS rule. Unfortunately, this rule
843 did not achieve good results on most of the problem sets. Therefore, the rule
844 did not demonstrate any advantages with regards to the other tested rules.

845 The COVERT rule is similar to the CR rule, however, it does not allow for
846 the priorities to increase with the increase of the tardiness of the job. Such a
847 modification has proven to be useful, since the rule achieved extremely good

848 results. Naturally, the rule achieved the best results for the due date related
849 criteria. The best performance of the rule can be noted on the problem sets
850 with high machine heterogeneity, on which the rule always achieved results
851 which were among the top three for the due date related criteria. Additionally,
852 for these two problem sets the rule did not achieve poor results for any of the
853 tested criteria. This allowed the rule to achieve a high rank when all the criteria
854 are considered, meaning that the rule is well rounded. However, for the problem
855 sets with low machine heterogeneity, the performance of the rule deteriorated.
856 The rule still achieved good results for the due date related criteria, but usually
857 it did not achieve the best results for any of the criteria. Therefore, the rule
858 seems to struggle in choosing the right machine on which it should schedule the
859 job, since it achieves inferior performance when the execution time of jobs is
860 similar across all machines. The ATC rule represents a further extension of the
861 COVERT rule, which uses an exponential function to model the priorities. This
862 rule achieves the best performance when applied on problem sets with high job
863 heterogeneity. On these sets it achieves the best result for the Twt criterion, and
864 second best results for the Nwt and T_{max} criteria. For the problem sets with
865 high job heterogeneity this rule even obtained the best average rank when only
866 the due date related criteria are considered. However, the rule achieved mediocre
867 results for most of the other criteria, but still for neither of the criteria it achieved
868 the worst results. For problems with low job heterogeneity the performance of
869 the rule deteriorates, but it still manages to perform well for most due date
870 related criteria, obtaining the third best rank for them.

871 6.2. Analysis of scheduling criteria

872 For the C_{max} criterion the best results are achieved by the `sufferage2`, `min-`
873 `max`, `sufferage`, and `MECT` rules. All these rules are similar in the fact that they
874 use the minimum completion time to determine on which machine the current
875 job should be scheduled. The differences arise mostly in the way that they select
876 the job which should be scheduled. For example, `min-max` tries to execute the
877 job on the machine for which it has the minimal processing time. `Sufferage2`

878 and sufferage take into account the difference between the shortest and second
879 shortest minimum completion time to determine which job would "suffer" most
880 if not executed on the machine with the minimum completion time. MECT, on
881 the other hand, schedules a great deal of jobs on machines on which they achieve
882 their minimum processing time. Therefore, to optimise the C_{max} criterion, it
883 is not enough for the rules to take into account the minimum processing times
884 of jobs. They have to additionally ensure that either the jobs are executed on
885 machines on which they have a short processing time, or that the job can not
886 be scheduled efficiently on any other machine. With these strategies the rule
887 ensures that it will not schedule jobs on just any machine, but rather that it
888 will keep certain machines free if it determines that the current jobs can not be
889 efficiently executed on them.

890 The Cw criterion is the only criterion for which one rule achieved the best
891 result across all the test sets. For this criterion the WSPT rule achieved the best
892 results, which is expected since it directly uses the information about the weights
893 of jobs, in addition to their processing times. Other rules which perform well for
894 this criterion are the min-min, KPB, and LJFR-SJFR rules. However, neither
895 of these three rules takes into account the weights of jobs. Min-min schedules
896 the jobs with the smallest minimum execution time, thus trying to complete the
897 jobs as soon as possible. KPB works similarly, however, it additionally limits
898 the number of machines on which the job can be scheduled. The LJFR-SJFR
899 also uses the minimum completion time, however, it interchangeably schedules
900 jobs with the longest and smallest completion time. Therefore, the best results
901 for this criterion are achieved by rules which take into account directly the
902 job weight for the completion times, or which schedule jobs by their minimum
903 completion times. However, no rule combines both of these, therefore it could
904 be possible to extend the min-min rules with job weights to obtain better results
905 for this criterion.

906 For the $Etwt$ criterion it is not simple to find a single DR which performs
907 well on all problem sets. The proposed JIT rule most consistently achieved
908 the best results for this criterion. The LPT rule also achieved good results for

909 the $Etwt$ criterion across all the problem sets. It is interesting that this rule
910 performs well for this criterion, although not using information about earliness
911 or tardiness at all. The explanation for such a result lies in the fact that by
912 prioritising rules with the longest processing times, the jobs are completed at
913 a later moment in time, thus increasing tardiness, but reducing earliness. The
914 WQ rule achieves an interesting result for this criterion, since it performs well
915 on all problem sets, except on the set with high job and machine heterogeneity.
916 Therefore, balancing the load across all the machine also has a good effect on the
917 $Etwt$ criterion. Unfortunately, it is hard to find a common behaviour between
918 the three aforementioned rules. The only thing they have in common is that
919 they do necessarily schedule the jobs with the minimum completion time, and
920 that jobs usually have a large completion time. Only the proposed JIT rule
921 directly uses the tardiness and earliness information to schedule the jobs, and
922 therefore should be more reliable than the other two methods. Additionally,
923 in most cases the JIT rule achieves a better value for the Twt criterion, which
924 means that it still tried to reduce the tardiness of jobs.

925 In the case of the F_{max} criterion, no single rule achieved the best perfor-
926 mance on all problem sets. The best results were obtained by the RC, sufferage,
927 sufferage2, min-max, and ERD rules. The first four rules try to schedule jobs
928 on most appropriate machines. Usually, they achieve this by trying to schedule
929 a job with the minimum completion time, but also taking into account that the
930 job is not scheduled on a machine on which it has a large processing time. With
931 this the rules can decide not to schedule jobs on certain machines, in order to
932 keep them free until a more suitable job is released. Consequentially, the rules
933 will try to execute the jobs as soon as possible, but will rather try to sched-
934 ule them on machines which are most appropriate. This will allow for jobs to
935 be executed as fast as possible, and will thus reduce the time which the jobs
936 spend in the system. The ERD rule works in a different way, since it prioritises
937 jobs which were released earlier. With this the rule implicitly tries to reduce
938 the flowtime of each job, since it will try to schedule it as soon as a machine
939 becomes free. However, this rule usually did not perform equally well as the

940 aforementioned rules. Thus, for this criterion, it is beneficial to schedule jobs by
941 using the minimum completion time and some additional criteria which ensure
942 that the job is scheduled on the most appropriate machine.

943 The results for the Ft criterion are quite similar to those obtained for the Cw
944 criterion, which in itself is expected since both criteria have a similar definition.
945 The best results for this criterion are achieved by the min-min, KPB, and LJFR-
946 SJFR rules. The reason why the aforementioned three rules perform well is due
947 to the fact that all three rules try to schedule jobs by their minimum completion
948 times. Therefore, they try to minimise the amount of time that the rules spend
949 in the system. On the other hand, the WSPT rule which performed best for the
950 Cw criterion, does not perform well for this criterion since it uses job weights
951 which are not used in the definition of the Ft criterion. Therefore, the best
952 rules for this criterion are those which try to schedule jobs so that they finish
953 executing as soon as possible, such as the min-min rule.

954 The situation for the M_{ut} criterion is not as simple as for the previous cri-
955 teria, since no single rule performs well for this criterion across all four problem
956 sets. This is likely the result of the fact that the heterogeneity of the problem
957 instances has a large influence on this criterion, and how the schedule which
958 optimises it should be constructed. For problem instances with high hetero-
959 geneity the LPT rule achieved the best results. This is probably due to the fact
960 that there is a high variability between processing times of jobs. Therefore, by
961 executing those which have the longest processing time first the rule can more
962 evenly distribute the load across all machines. On the other hand, for problems
963 with low heterogeneity, the best results were achieved by the WQ rule. This
964 is probably due to the fact that all the processing times are now more or less
965 similar, and therefore this rule can more effectively distribute the balance across
966 all the machines. Other rules which performed well for this criterion are OMCT
967 and maxstd rules for problems with high heterogeneity. On the other hand, the
968 OLB and JIT rules performed best under low job and machine heterogeneity.
969 This demonstrates that to optimise this criterion under different conditions rules
970 which have a completely different behaviour are required.

971 For the Nwt , T_{max} , and Twt criteria the best results are achieved by rules
972 which are designed for optimising the due date related criteria. The three best
973 rules for the aforementioned criteria were MON, COVERT, and ATC. For the
974 Nwt and Twt criteria the best results are mostly achieved by the MON rule,
975 while for the T_{max} criterion the COVERT rule achieved the best results in
976 most cases. All three rules perform well for the three tested due date related
977 criteria. It is interesting to note how the MON rule, which uses only a static
978 slack factor, performs better in certain occasions than the two rules which use a
979 dynamic slack factor. The obvious reason for this is that the other two rules use
980 an additional scaling parameter which influences the performance of the rules.
981 Therefore, it is likely possible that if other values for those scaling parameters
982 were used, the ATC and COVERT rules would achieve better performance.
983 When rules are executed under dynamic conditions, it is not known in advance
984 which parameter value would lead to the best results. For the T_{max} criterion
985 the best results for problem sets with high job heterogeneity were achieved by
986 the JIT rule. Although the rule results in large tardiness values, it manages to
987 schedule jobs in a way that no job is extensively late, at least when the processing
988 times of jobs are highly different between jobs. In the end, it is evident that
989 for the due date related criteria the rules need to use the information about the
990 slack of the jobs in order to obtain the best results.

991 **7. Conclusion**

992 In this paper a review of existing DRs which can be applied for scheduling
993 in the unrelated machines environment with release times and under dynamic
994 conditions was given. Additionally, all the collected DRs were evaluated on sev-
995 eral problem sets and by using nine scheduling criteria. The results demonstrate
996 that there is no single DR which would perform well for all of the nine tested
997 criteria, but rather that DRs usually achieve the best performance for only one
998 or two criteria, or perform well across several criteria but do not excel in any of
999 the criteria. For most criteria it was possible to determine several DRs which

1000 performed well across all the test sets, regardless of the heterogeneity condi-
1001 tions. However, for only a few criteria a single DRs was able to achieve the
1002 best result for all four problem sets. This shows that DRs are quite sensitive to
1003 the heterogeneity conditions of the problem instances, and that changing those
1004 conditions can have an influence on the performance of DRs. For some criteria,
1005 like E_{twt} and M_{ut} , the performance of DRs depends on a much greater exten-
1006 t to the heterogeneity conditions. The results obtained in this paper should,
1007 however, give a good notion of which DRs are appropriate for optimising which
1008 scheduling criteria, and under which heterogeneity conditions.

1009 Although this paper gives an overview of the different DRs, it is still possible
1010 to make other reviews which would focus only on specific criteria. For example,
1011 it would be interesting to investigate how the due date range and tightness
1012 influence the performance of different DRs for the due date related criteria.
1013 With such an investigation it would be possible to determine which rules are
1014 appropriate for problems with specific tardiness conditions. Since this study
1015 gave an overview of rules which performed best for each of the criteria, and also
1016 outlined certain similarities between those rules, it could be possible to use that
1017 knowledge to design novel DRs, which could perform better than the existing
1018 rules. Finally, it could also be useful to analyse all the good DRs in more detail,
1019 in order to identify the useful parts of these manually designed DRs, and to
1020 try to use these parts when automatically designing new DRs by using different
1021 machine learning and evolutionary computation methods. This could very likely
1022 lead to simpler, but more efficient automatically designed DRs.

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