# The B-spline Interpolation in Visualization of the Three-dimensional Objects

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#### Abstract

The volume data is generally in the form of the large array of numbers. In order to render the object hidden in the volume, we need to reconstruct or interpolate data values between the samples. The novelty presented in this paper is B-spline interpolation in the volumetric space. We show that this approach is better then currently used methods.

To enhance quality during the volume visualization process it is important to enhance a quality of the reconstruction. It is of crucial importance to explore different undesired effects. If better reconstruction is performed the more accurate result of volume visualization process is achieved.

Keywords: B-spline, volume rendering, volume reconstruction.

### **1** Introduction

The volume visualization is based on the three-dimensional scalar or vector field. Object that should be visualized is represented by the array of discrete samples. During rendering of the object it is necessary to reconstruct the continuous three-dimensional function, defined by the samples, for any applied method. Classification of the methods for the volume visualization can be done regarding to the space where they basically work. Development of the new methods extent the basic classification proposed by Kaufman (Kaufman, 1990). There are three groups of methods: the object space methods, the image space methods and methods that are based on transformed object space.

The object space methods mainly creates polygons or classic geometric primitives and projects them in the projection plane (Lorensen, 1987). Methods that are based on the image space start from the image plane and cast the rays from each picture element into the scene (Levoy, 1988). Methods that are based on the transformed object space work in transformed space, for example in the frequency domain (Totsuka, 1993) or in the wavelet domain (Gross, 1996). There are also some hybrid methods that employ coherency characteristics from different spaces. The object space is first traversed to reorganize data to be prepared for traversing in the image space. During traversing the image space, rays are cast from each picture element in the object space (Lacroute, 1995). Organization of the volume elements is very important because significant performance benefits can be achieved if volume elements can be easily fetched along cast ray.

During the volume rendering there are several layers where reconstruction is necessary, and the error caused by reconstruction may occur. Reconstruction is done in the three-dimensional space based on the values of the volume elements. Numeric integration along the ray path uses reconstructed values as sample points. To calculate value assigned to the ray, values in the sample points along the ray are accumulated. Final reconstruction is done based on each ray in order to produce the final image (Fig. 1).



Fig. 1. Reconstruction in the volume rendering.

It is important to be aware of limitations of the reconstruction because it can significantly influence the accuracy of the result. Investigation of the reconstruction or the interpolation is required to achieve compromise between different undesired artifacts and achieving optimal result.

## 2 Reconstruction in the computer graphics

Development of new rendering algorithms for visualization of the three-dimensional scalar fields is recent area of research. Usually, related papers put the main accent on the proposal of new methods, while to the problem of reconstruction is given less attention.

Aliasing is problem present in many areas of computer graphics. Objects are usually defined procedurally and they are synthetic. Prefiltering of such representation is not practical. Further more, transformation between continuous and discrete representation is often required. Aliasing may occur on every transformation of representations, and this problem also appears when resampling is required. Multilayer resampling is often required and each layer may cause additional error. This problem is well recognized in the computer graphics, and investigated by many authors.

Display of the computer generated image is input object to our visual system, and it is not completely understood how our visual system works. Sensitivity of the human eye is specific, so minimal deviation in mathematics sense differs from the most pleasant result for our perceptual system. Even a little distortion in gray levels can cause unpleasant psychovisual result, especially in the areas with smooth changes.

In the analysis based on the perceptual approach, rather than mathematics, some authors prefer little aliasing in order to avoid other visual defects, that results from trying to remove alias completely. The appearance of aliasing is investigated when family of piecewise cubic filters is applied to image reconstruction (Mitchell, 1988). Mitchell also presents (Mitchell, 1996) how stratified sampling reduces variance of the mean value of the image picture elements.

The problem is to numerically express the result that depends on our visual system. Marschner and Lobb (Marschner, 1996) propose metric that can be used to measure the filter characteristics, in terms of smoothing and postaliasing. On the three-dimensional test signal they show the results when different reconstructions are used. The proposed test function is highly sensitive to the aliasing, and different undesired effects are visible on the results. Disadvantage is that the proposed test function is continuous, so drawback caused by discontinuity usual in real data will not appear. In volume rendering, gradient information is used for shading and classification of the data set in combination with the voxel intensities. Bentum presents the analysis of gradient estimators in frequency domain, and proposes taking the derivative of the interpolation function itself (Bentum, 1996).

Machiraju and Yagel characterize and measure error by applying Taylor series expansion. They characterize error as truncation error and non-sinc error. The methods for error measurements are based on the spatial domain analyses. The Taylor series expansion of the convolution sum (Moller, 1997) lead to the quantitative and qualitative compression of the reconstruction and derivative filters. The analysis is based on the BC-splines defined by Mitchell.

It is important to distinguish approximation and interpolation approach. The approximation curves are used to approximate control polygon, and interpolation curves must pass through the defined vertices. Toraichi used interpolation quadratic B-spline for image reconstruction (Toraichi, 1988), and Unser presented B-spline transforms for the image interpolation (Unser, 1991).

### **3** Prealiasing and postaliasing

Volumetric space consists of volume elements. Each volume element may represent result from real world object sample, from numeric simulations, or may represent some pure mathematical value. The samples are taken from continuous space, but object with sharp edges in that space creates discontinuity. According to Shannon theory, signal can be reconstructed from its samples if two conditions are valid. First, spectrum of the signal must be bandlimited, and sampling frequency must be twice higher than the largest frequency present in the signal. The alias that occurs during sampling stage is called prealiasing and postaliasing is caused by the reconstruction.

Natural forms often contain discontinuity, so their spectrum is not bandlimited. Before sampling, lowpass filtering must be applied. If ideal (box) lowpass filtering is performed, Gibss phenomena will appear on each discontinuity. So, discontinuity creates unbandlimited spectrum. Therefore, ideal lowpass filter, used to eliminate higher frequencies, cause ringing effect near discontinuity. When discontinuity exists on piecewise linear function, Fourier series of function overshoots the function value near that discontinuity. Limes  $\lim_{n \to \infty} S_n(f, x_n)$  of

the *n*-th partial sum  $S_n(f, x_n)$  of the Fourier series on the first local maximum (minimum)  $x_n$  near discontinuity converges to higher (lower) value then the value of the function. Wilbraham-Gibbs constant quantifies the degree of overshoot. On the each side of discontinuity the limiting crest of highest wave converges to 8,949% of the discontinuity height. This is inherited property that should be taken into the further consideration.

In the two-dimensions ringing exhibits on every discontinuity in gray levels of the image. In the three-dimension, volume elements escape over the edge of the object and create visual artifacts that manifest as clouds around the object. Some volume elements dive into the object creating caves in the object surface. To avoid ringing, continuous impulse response of the lowpass filter is required. Instead of box lowpass filtering, filters that have smooth impulse response should be used. For lowpass filtering in two or three dimensions Gaussian filter will be used, although further detailed investigation is required.

Data acquisition can be achieved by different scanners: CT (Computer Tomography) or MR (Magnetic Resonance), for example. During the sampling process some lowpass filtering is performed, but information about it for sequences of slices available on Internet, is usually unknown. If the sampling is not done correctly, information can be irrecoverably lost.

The resolution of scanned slices is usually high, but number of slices is often insufficient because of radiation risks for patient. To enlarge the number of slices, interpolation between the slices is required. Compression of the volume data is also desired because the size of dataset is large. Thus the reconstruction of the compressed volume, interpolation between slices, or interpolation of the volume elements becomes important step.

The reconstruction is term that is usually used in signal processing, and interpolation is term used in mathematics or computer graphics. In this paper those two terms will be used interchangeably. Both approaches: one from the interpolation of curves and the other from signal reconstruction, will be confronted in order to analyze the problem.

#### 4 The B-spline interpolation

When designing the curves and surfaces for CAD applications some characteristic demands on the behavior of the curves and surfaces are required (Farin, 1990, Guid 1990). The Bspline was created to fulfill certain requirements that will reflect very well in solving of our problem.

#### 4.1 The approximation B-spline

The approximation B-spline curve with degree k of each polynomial segment is defined with

$$\mathbf{p}(u) = \sum_{i=0}^{n} \mathbf{r}_{i} N_{i,k}(u), \qquad (1)$$

where  $\mathbf{r}_i$  are points of the control polygon, and  $N_{i,k}$  are called B-spline weight functions, or B-splines. The control polygon has n+1 control points. The  $N_{i,k}$  are defined based on knot sequence

$$U_{knot} = \{u_0, u_1, \dots u_m\},$$
(2)

with recursion formula

$$N_{i,o}(u) = \begin{cases} 1 & \text{if } u_i \le u < u_{i+1} \\ 0 & \text{else} \end{cases},$$
(3)

$$N_{i,k}(u) = \frac{(u - u_i)N_{i,k-1}(u)}{u_{i+k} - u_i} + \frac{(u_{i+k+1} - u)N_{i+1,k-1}(u)}{u_{i+k+1} - u_{i+1}}.$$
(4)

When denominator is equal to zero, fraction is assumed to have value of zero.

In our consideration we restrict on the uniform case, where parametric intervals between successive knot values are equal to one, and with no multiple knot values.

$$U_{knot} = \{1, 2, 3, \dots, m\},$$
(5)

In this uniform case, when k=3 (cubic case), formulation of the i-th B-spline segment is:

$$\mathbf{p}_{i}(u) = \begin{bmatrix} u^{3} & u^{2} & u & 1 \end{bmatrix} \frac{1}{6} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{r}_{i-1} \\ \mathbf{r}_{i} \\ \mathbf{r}_{i+1} \\ \mathbf{r}_{i+2} \end{bmatrix},$$
(6)

where  $u \in [0,1]$ . For this uniform cubic case derived from (1) and (4), four points control each segment. The curve  $\mathbf{p}_i(u)$  will approximate the control polygon.

Boundary conditions can be handled by using closed curves or circular repetition of the control points, by zero padding, or by setting some end conditions. For the sake of the

simplicity circular repetition of the control points will be applied. The derived form (6) is identical to the cubic BC-spline derived by Mitchell (Mitchell 1988), by setting B=1, C=0:

$$k(x) = \frac{1}{6} \begin{cases} 3|x|^3 - 6|x|^2 + 4 & \text{for } |x| < 1\\ -|x|^3 + 6|x|^2 - 12|x| + 8 & \text{for } 1 \le |x| < 2\\ 0 & \text{else} \end{cases}$$
(7)

With few arithmetic manipulations and reparameterization we can prove that (6) and (7) represents the same reconstruction form. It is obvious that the reconstruction in two dimensions (e.g. images) approximation spline causes blur, because smaller or greater values only approximate gray levels of the image. In spite of that fact, many authors use BC-spline defined by Mitchell (Lacroute 1995, Bentum 1996, Moller 1997). In the three dimensions fine details are lost, and surface is smooth. The interpolation, as opposite to approximation of the control points, will significantly improve the resulting image.

The properties of the B-spline curves or surfaces extend in the image or volume reconstruction very well. These properties are continuity, convex hull, local control, variation diminishing and representation of the multiple values.

The convex hull property ensures that each point in the curve lies in the convex hull of no more than k+1 nearby control points. Thus, sample points bound the space of the reconstructed curve, surface or volume, so reconstructed values will not escape outside the convex hull. The local control property makes far points less influential on the segment of consideration. In the terms of signal processing the local control property implies narrow impulse response of the reconstruction filter. The impulse response of ideal reconstruction filter is *sinc* function, which is very wide. The points far from the point of reconstruction can have undesired influence.

Variation diminishing property prevents variations of the curve, or variations in the gray levels of the reconstructed image. The curve is not intersected by any straight line (or plane) more often than the control polygon. For a cubic case, control polygon consists of the four control points and there are at most three intersections between straight line and curve. This property is very important in the image reconstruction, because the human eye is very sensitive on small changes of the intensity, especially in the areas where gray levels are changing smoothly.

#### 4.2 The interpolation B-spline

To build the interpolation B-spline it is crucial to find the control polygon of the approximation B-spline, such that the resultant curve passes through the requested points. For the cubic uniform closed curve, the matrix form defines points of the control polygon:

$$\begin{bmatrix} \mathbf{p}_{0} \\ \mathbf{p}_{1} \\ \dots \\ \mathbf{p}_{n-2} \\ \mathbf{p}_{n-1} \end{bmatrix} = \frac{1}{6} \begin{bmatrix} 4 & 1 & 0 & \dots & 0 & 0 & 1 \\ 1 & 4 & 1 & \dots & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & 1 & 4 & 1 \\ 1 & 0 & 0 & \dots & 0 & 1 & 4 \end{bmatrix} \begin{bmatrix} \mathbf{r}_{0} \\ \mathbf{r}_{1} \\ \dots \\ \mathbf{r}_{n-2} \\ \mathbf{r}_{n-1} \end{bmatrix}$$
(8)

where  $\mathbf{p}_i$  are the known points that must be interpolated, and  $\mathbf{r}_i$  is the unknown point of the control polygon. Evaluation of the inverse tridiagonal matrix or the LU-decomposition can be used to find  $\mathbf{r}_i$ .

## **5** Results

Six different reconstruction filters in the two and three-dimensional space are used. Applied reconstructions are: with sinc function, nearest neighbor, approximation B-spline, three-linear interpolation, Mitchell reconstruction with BC-spline B= C= 1/3and interpolation B-spline. Differences between the initial object and the resulting objects are made and variances are calculated (Fig. 2). Reconstruction with sinc function exhibits strong ringing artifact, although mean square error is minimal. The best result is achieved for the interpolation B-spline.



The two-dimensional examples <sup>F1</sup> (Fig. 3) and the three-dimensional examples

Fig. 2. Six different reconstruction methods.

(Fig. 4) of the six reconstruction methods are presented. For the three-dimensional example the test function proposed by Marshner and Lobb (Marshner 1994) is used.



Fig 3. Reconstruction with *sinc*, nearest neighbor, approximation B-spline, bilinear interpolation, Mitchell reconstruction and interpolation B-spline.

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Fig. 4. Three-dimensional examples of the six different reconstruction methods.

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